

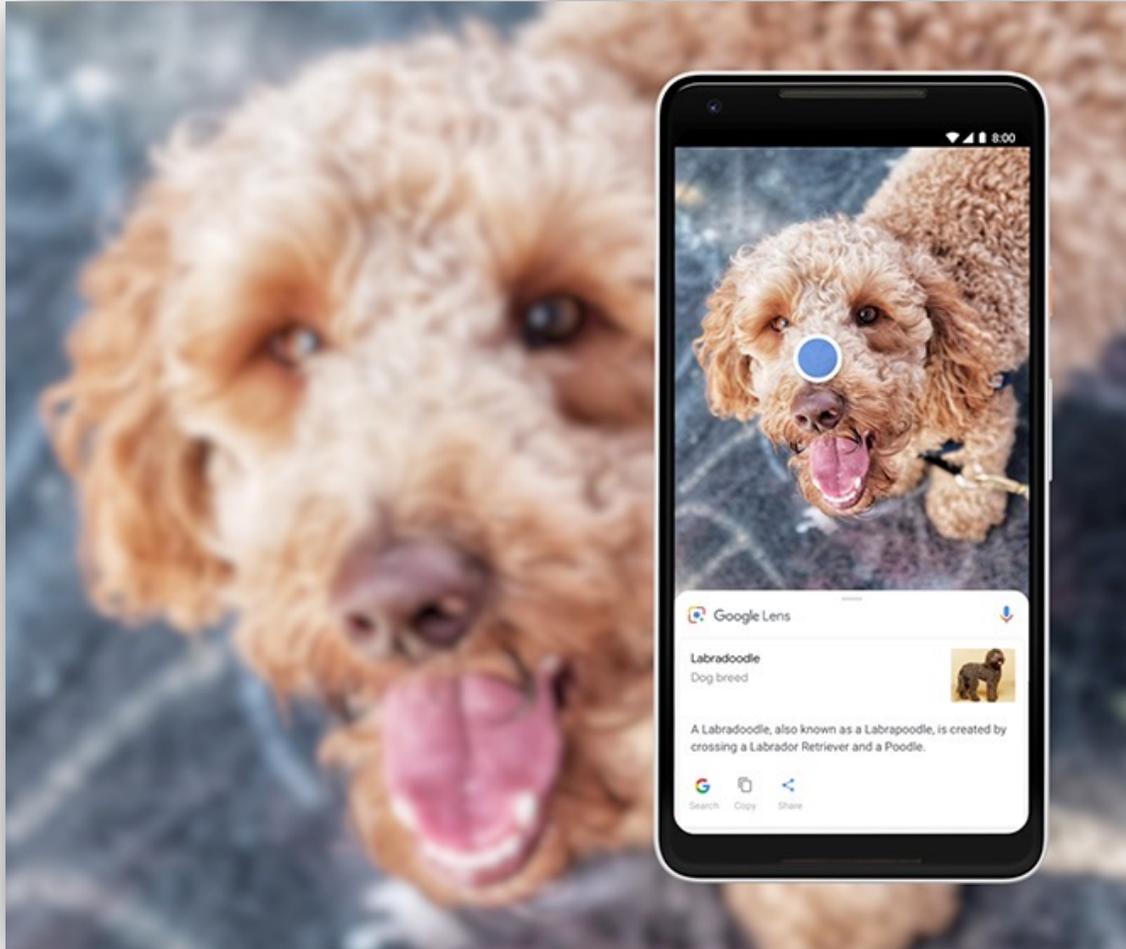
# Measuring and Enhancing the Security of Machine Learning

Florian Tramèr

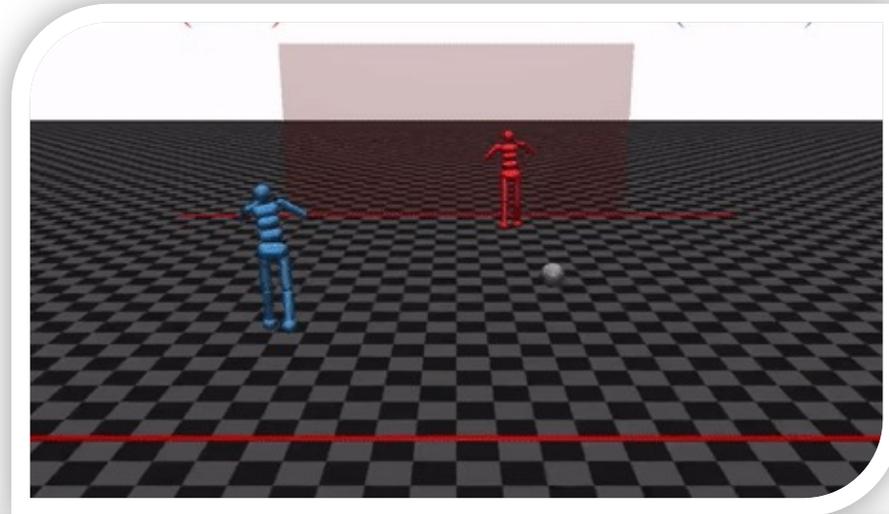
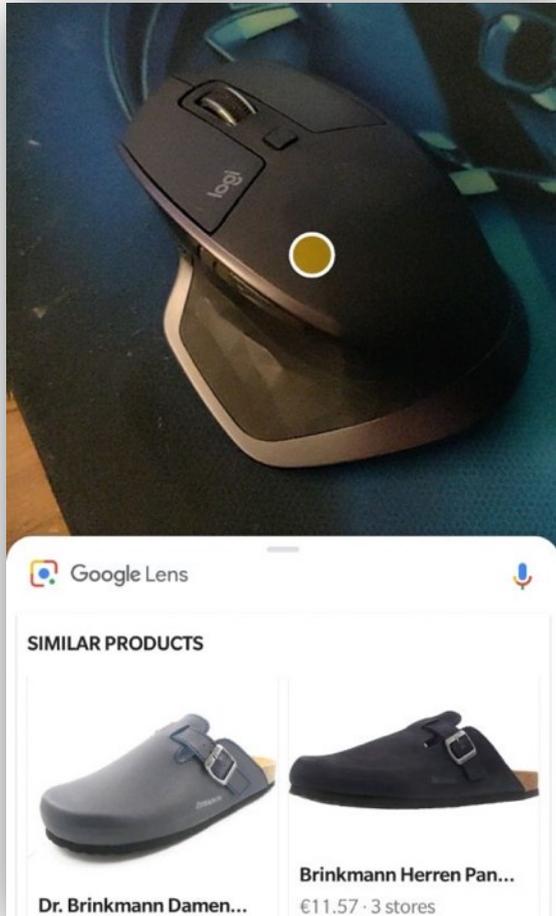
Stanford University

**Committee members:** Mykel Kochenderfer (chair), Dan Boneh (advisor), Moses Charikar, Percy Liang, Gregory Valiant

# Machine learning works.



# Machine learning works **most of the time!** many applications tolerate occasional failures



Somali ▾ ↔ English

[Translate from Irish](#)

ag ag ag ag ag ag ag ag  
ag ag ag [Edit](#)

And **its length was one hundred cubits** at one end

*from the Bible (1 Kings 7:2)*

# Machine learning can also fail disastrously.

## Critical mistakes...

**theguardian**

Uber crash shows 'catastrophic failure' of self-driving technology, experts say



# Machine learning can also fail disastrously.

**Critical mistakes...**

**theguardian**  
Uber crash shows 'catastrophic failure' of self-driving technology, experts say

**Direct attacks...**

**The New York Times**  
*Microsoft Created a Twitter Bot to Learn From Users. It Quickly Became a Racist Jerk.*



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**Direct attacks...**

**The New York Times**

*Microsoft Created a Twitter Bot to Learn From Users. It Quickly Became a Racist Jerk.*

**Private data leaks...**

**Does GPT-2 Know Your Phone Number?**

*Eric Wallace, Florian Tramèr, Matthew Jagielski,  
and Ariel Herbert-Voss*

**Challenge:** understand and improve the **worst-case** behavior of machine learning (ML)

**Approach:** study ML from an adversarial perspective

- to improve *robustness* and *privacy* of ML in **adversarial settings**
- to build ML that is *better*



This thesis

# Measuring and Enhancing ML security

## I. Modeling the threat of adversarial examples

- **Analysis:** *fundamental limits* of existing defenses
- **Application:** *circumventing online content blockers*  
*(led to design changes in Adblock Plus)*

## II. Enhancing data privacy for ML users

- At **training time** using *differential privacy*
- At **test time** using *hardware enclaves* and *cryptography*

This thesis

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this talk!



# Talk outline.

- Adversarial examples for online content blockers
  - What's the threat model?
  - Limitations of current defenses
  - Industry impact
- Enhancing ML privacy
- Future work

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- **Adversarial examples for online content blockers**
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# What is Machine Learning (ML)?

collect some  
“training” data



“cat”



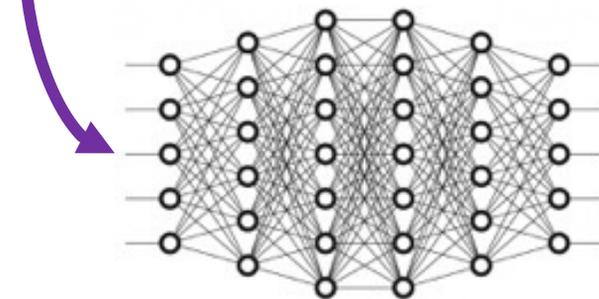
“dog”



“pig”

build a function (model) that learns how  
to make predictions on *new* data

$$f \left( \text{[cat image]} \right) = \text{“cat”, 90\%}$$



neural network

(sequence of math transforms  
applied to the input to assign a  
“confidence” to each prediction)

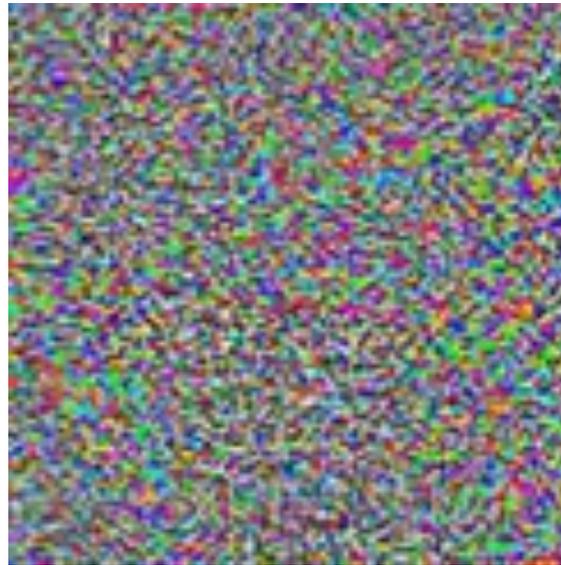
# Adversarial examples: a curious *bug* in ML

[Szegedy et al. '13], [Biggio et al. '13], [Goodfellow et al. '14], ...



**90% Tabby Cat**

+



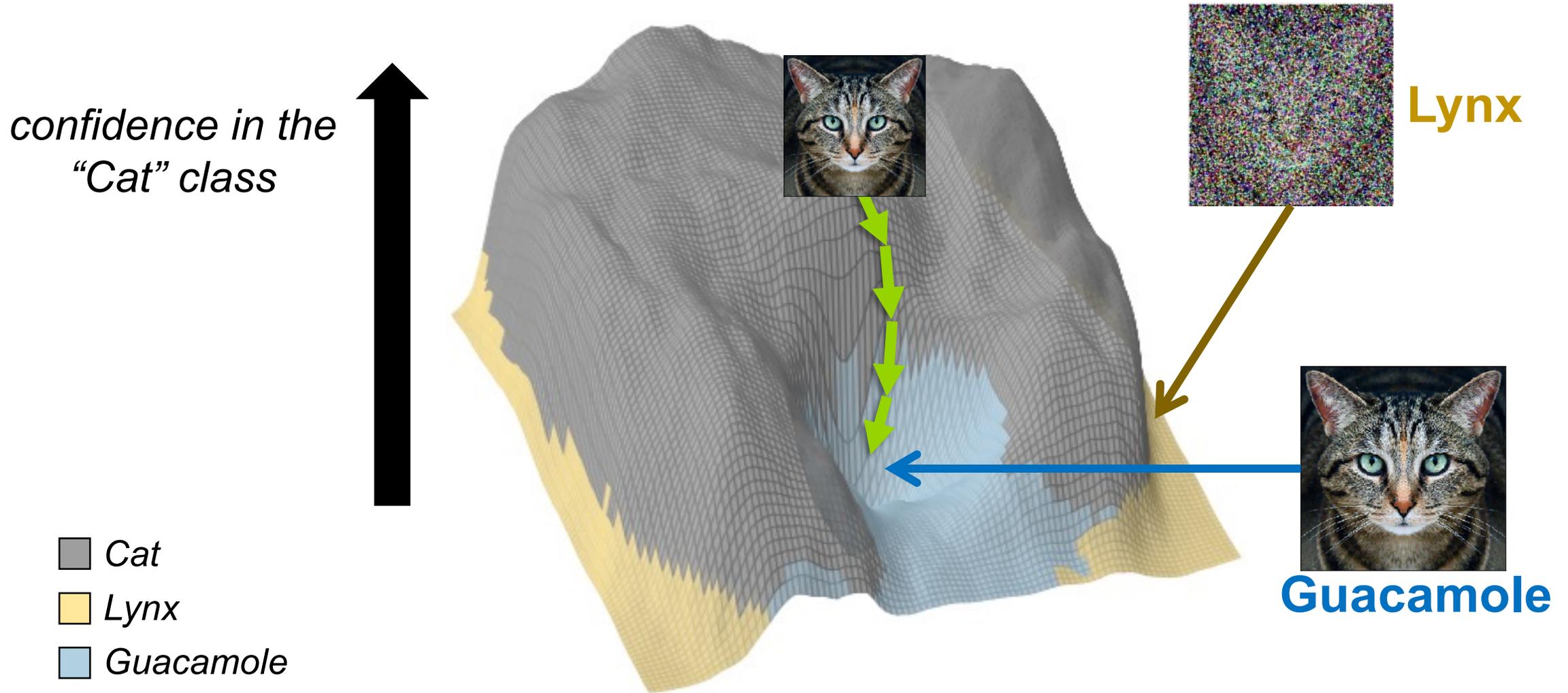
**Adversarial noise**

=



**100% Guacamole**

# Finding adversarial examples.



# Why do adversarial examples matter?

## For understanding ML

- what is the model learning?
- why do brittle models *generalize*?



## For security:

- will my ML system **fail unexpectedly**?
- can my ML system be **attacked**?



# Adversarial examples as a computer security problem.

**T**, Dupré, Rusak, Pellegrino, Boneh (ACM CCS 2019)

- adversarial examples are the **perfect tool** to attack *online content blockers*
- *using ML for ad-blocking can break Web security*
- *this work led to design changes in Adblock Plus*



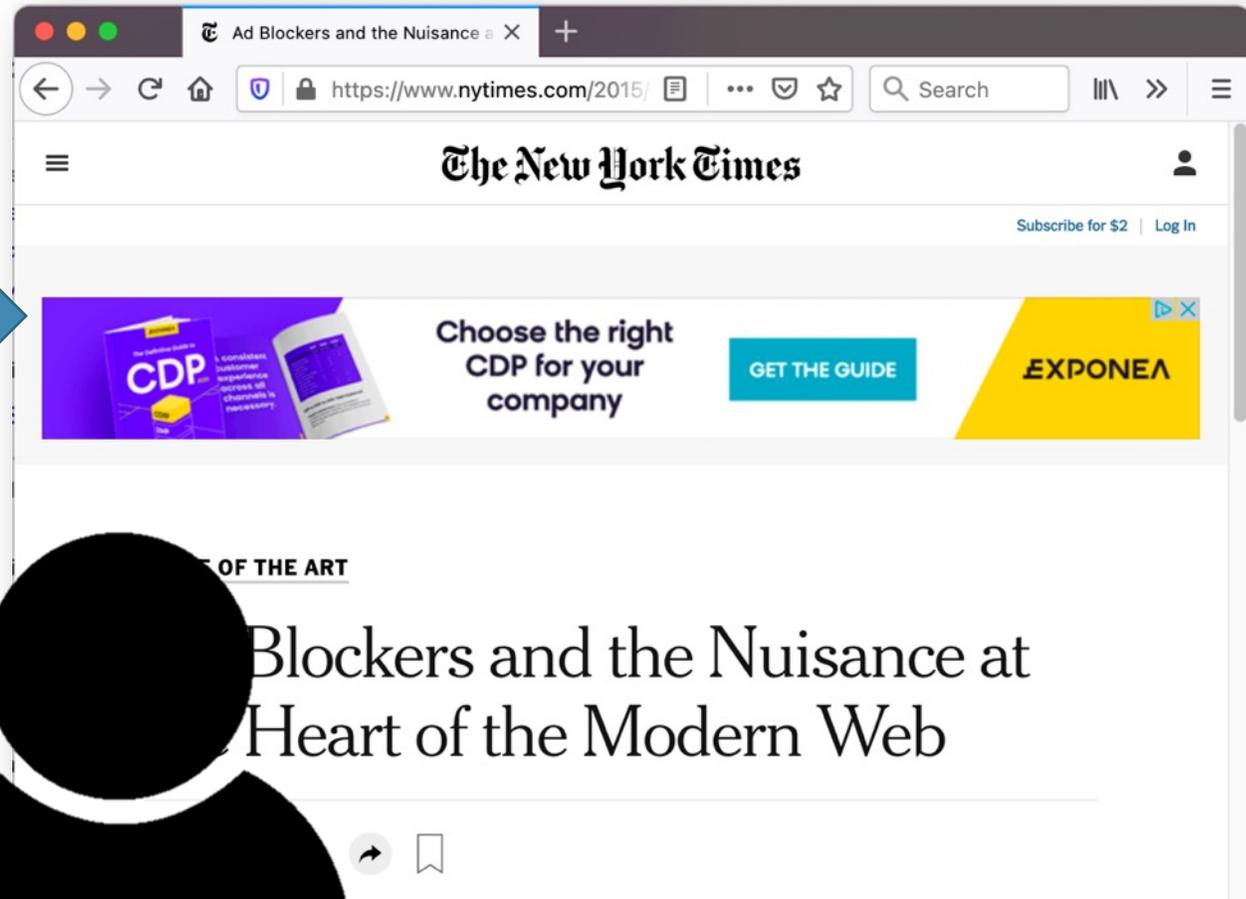
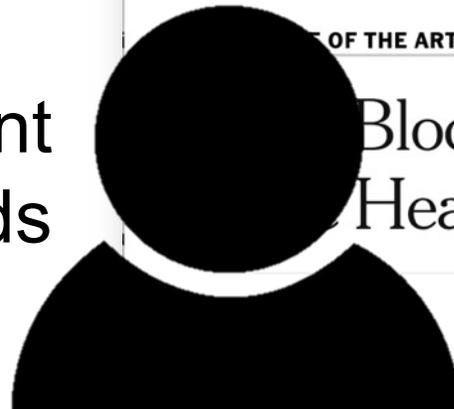
**100M active users**

# Adversarial examples are a security threat for online ad-blocking.

publishers & advertisers want to show ads to users...



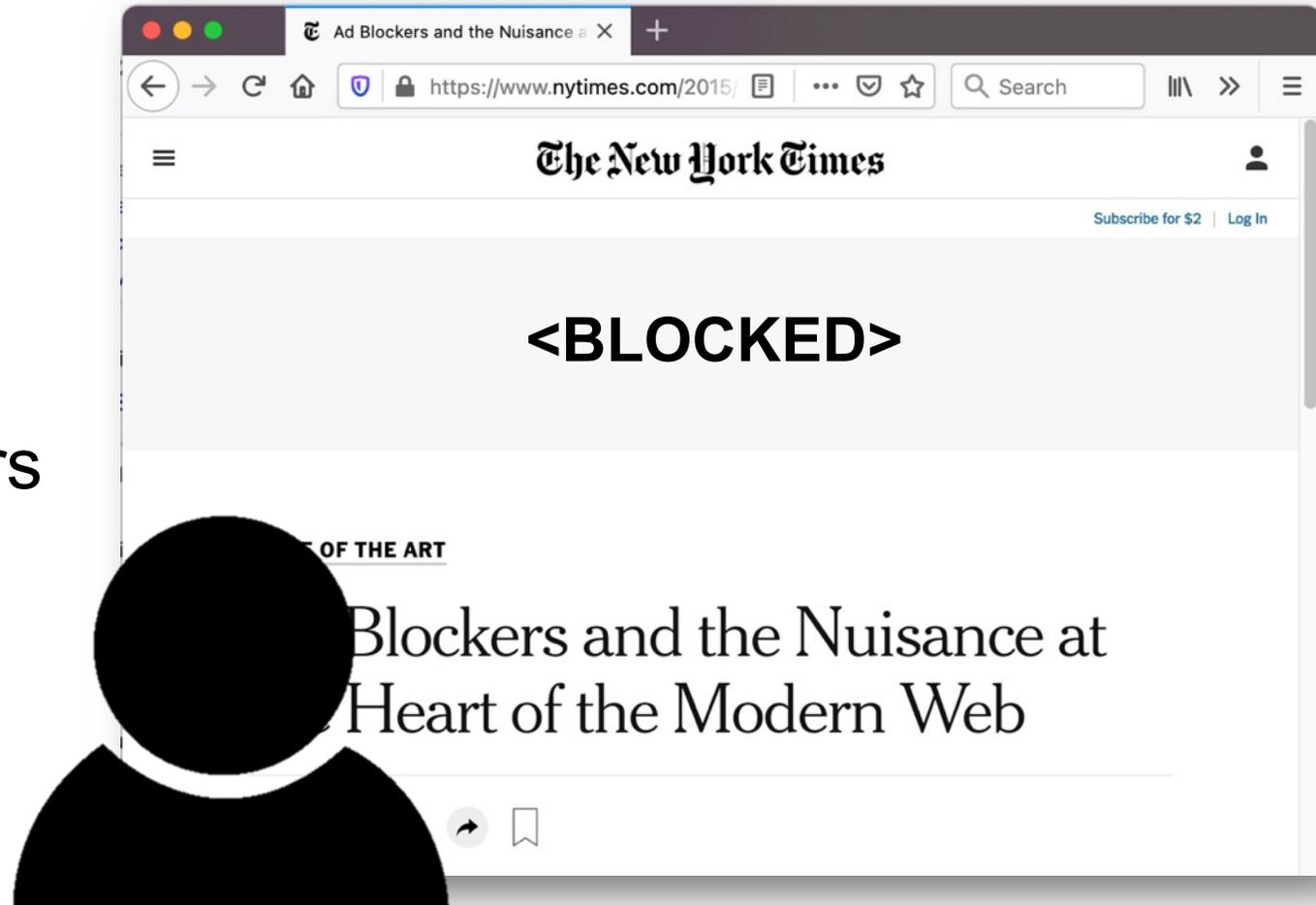
...users don't want to see ads



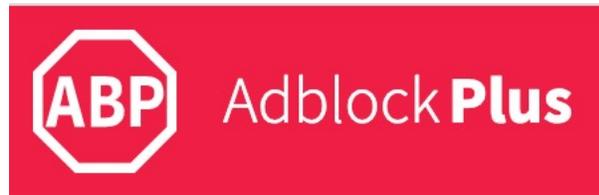
# Adversarial examples are a security threat for online ad-blocking.



users install ad-blockers to remove ads...

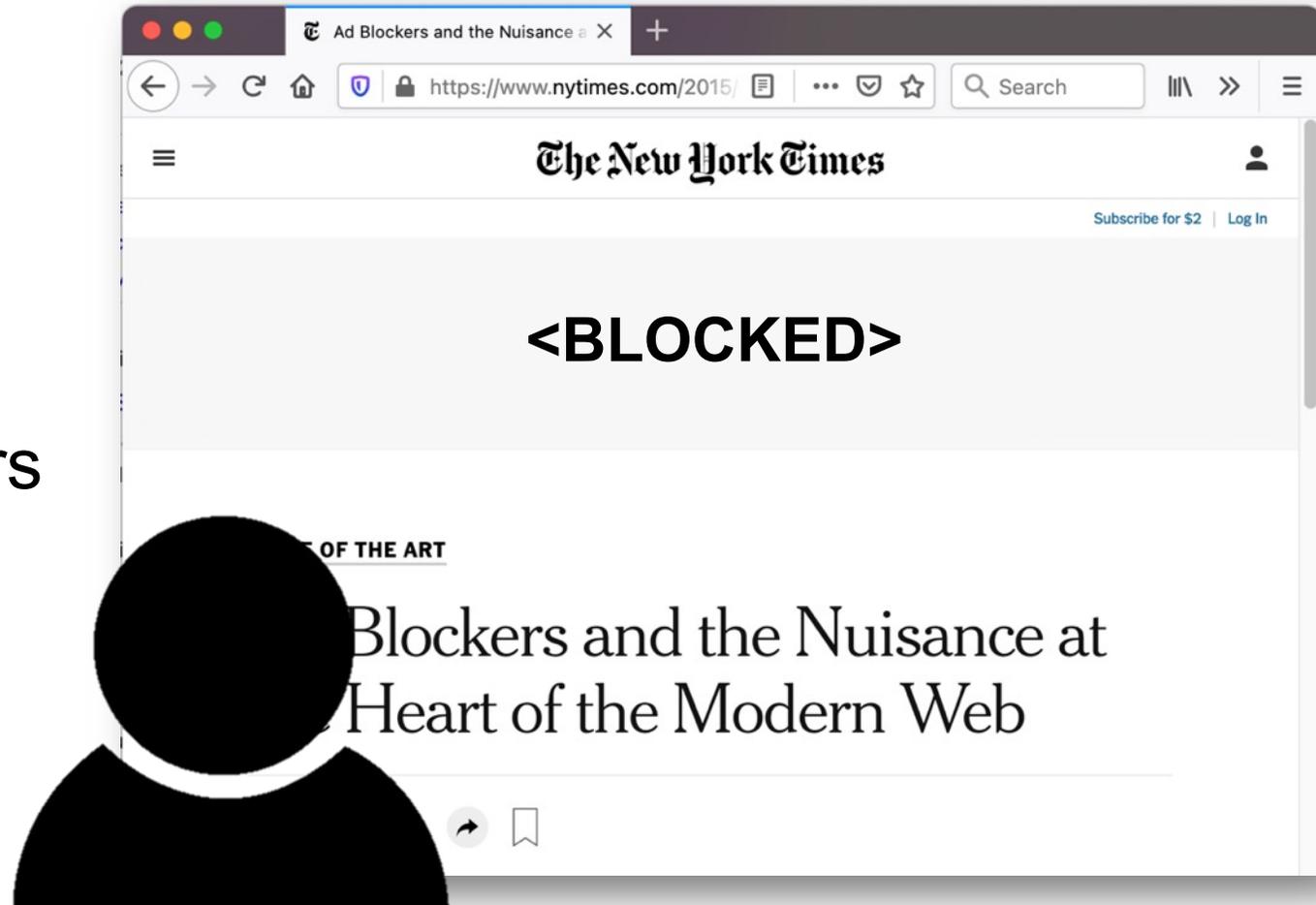


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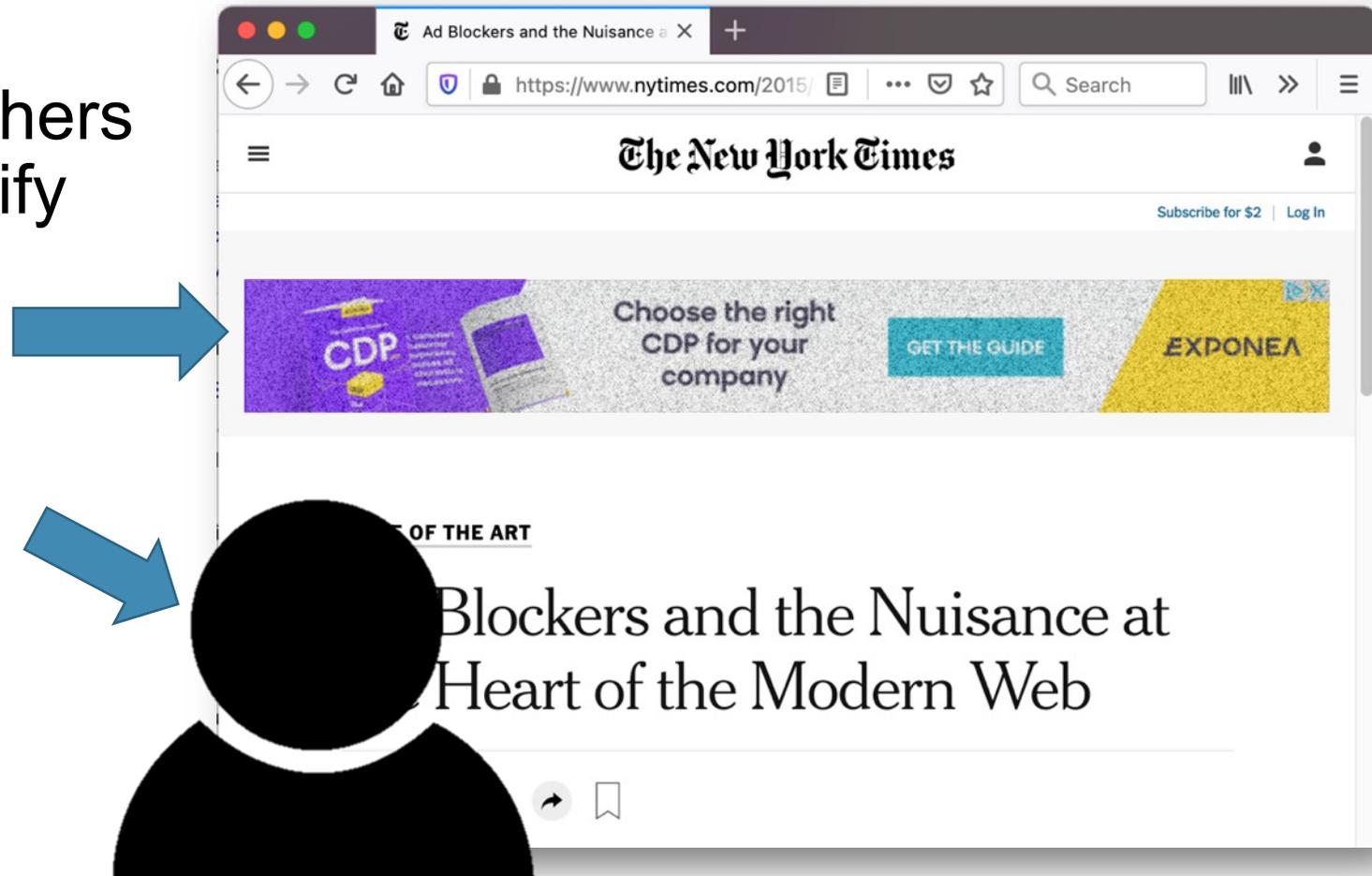
...using machine learning!



# An attacker can use adversarial examples to **evade** content blocking.

**adversaries** (publishers & advertisers) modify content to **evade** blocking...

...without changing the user's visual perception of ads



# For now, the adversary wins!



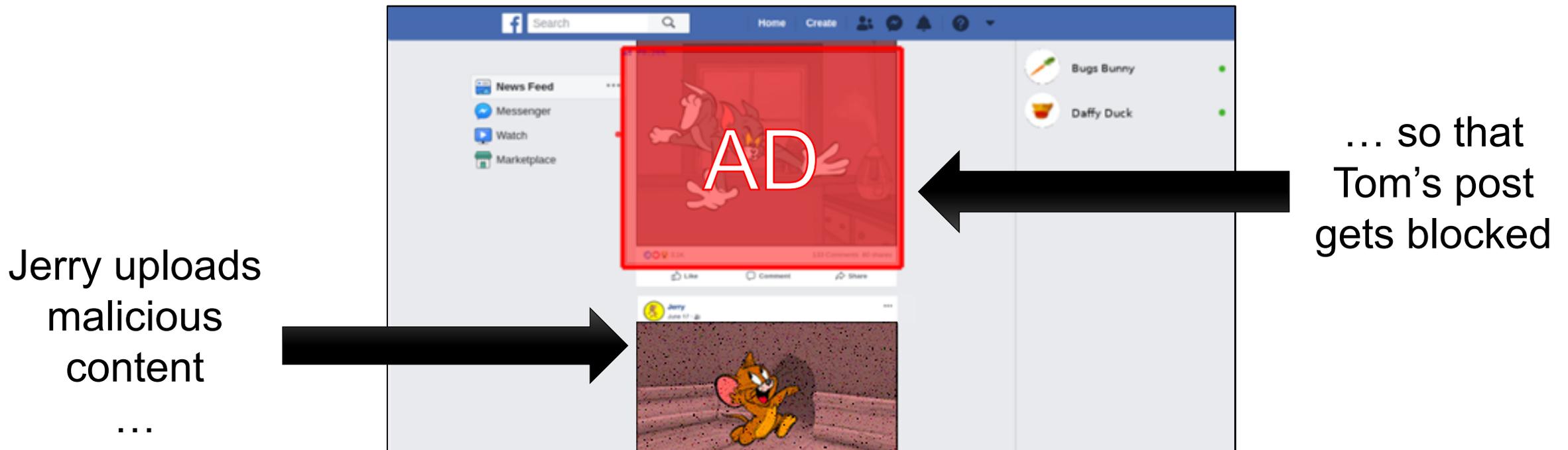
**MOTHERBOARD**  
TECH BY VICE

## Researchers Defeat Most Powerful Ad Blockers, Declare a 'New Arms Race'

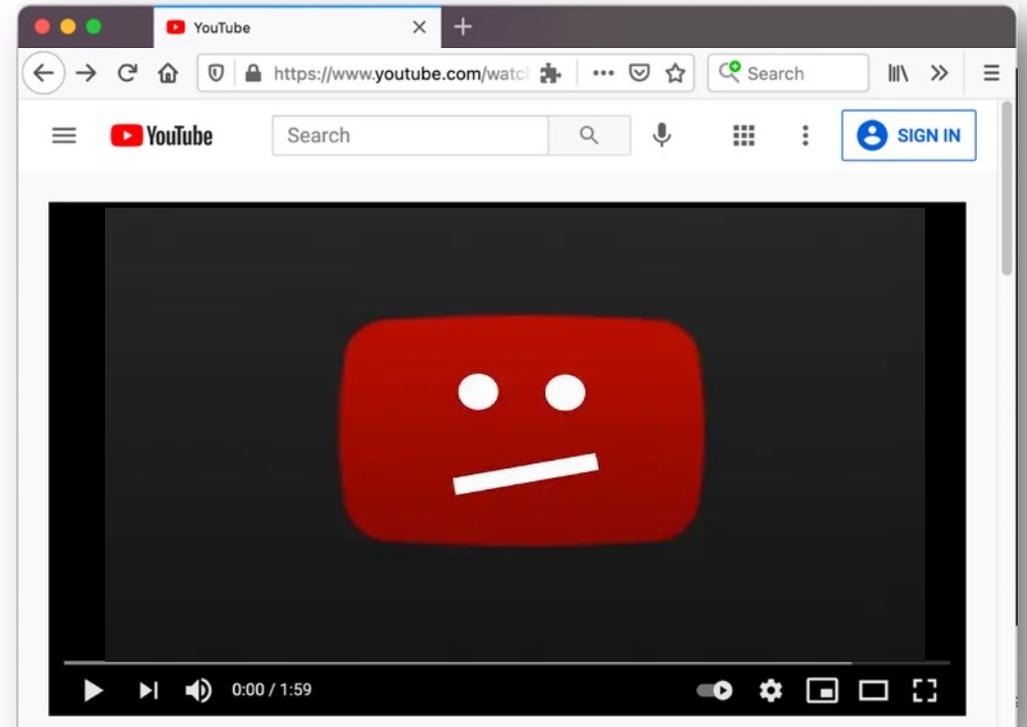
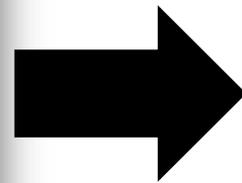
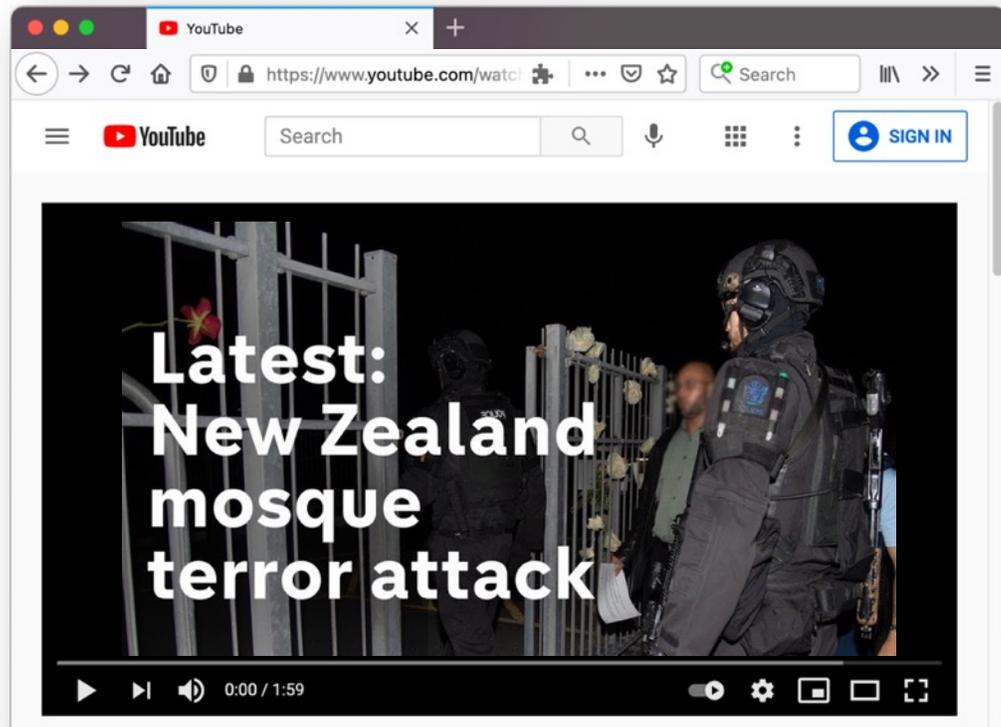
“AdVersarial: Perceptual Ad Blocking meets Adversarial Machine Learning”, ACM CCS 2019

# Adversarial examples can cause **harm** beyond model evasion.

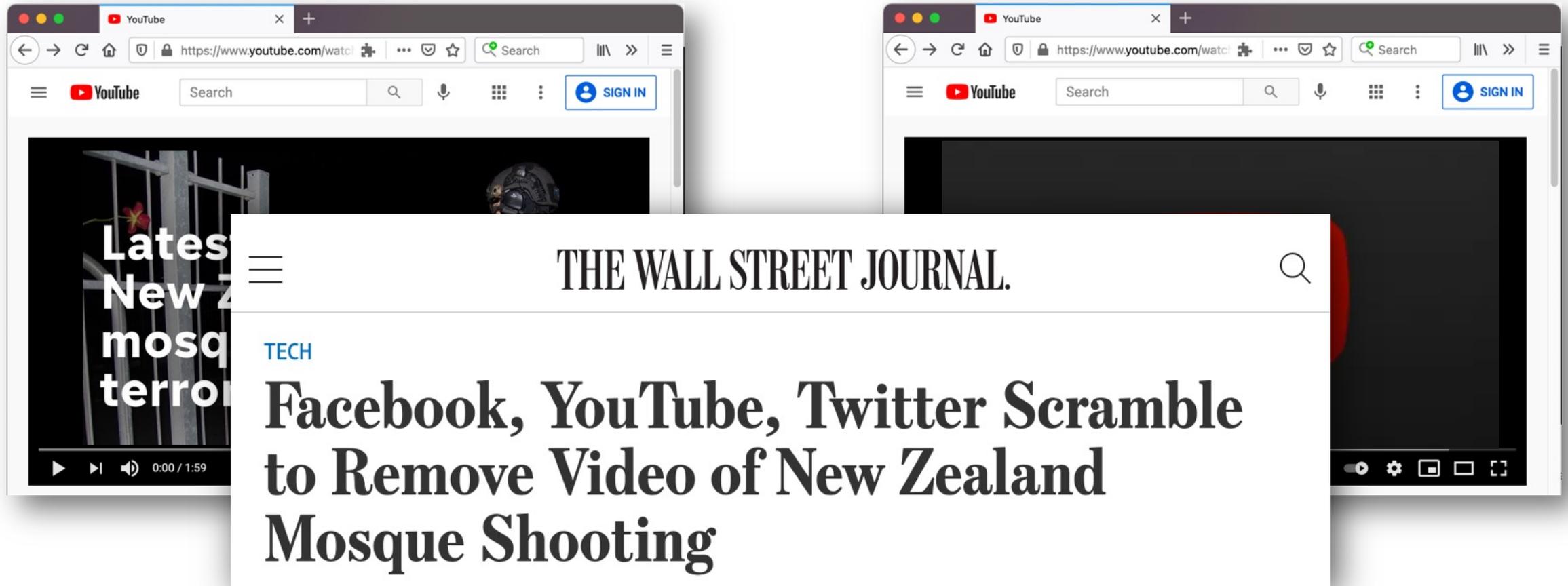
Adblock Plus wants to run a ML model on *screenshots* of your entire **Facebook** feed.



Adversarial examples are a security threat for *online content* blocking.



Adversarial examples are a security threat for *online content blocking*.



# Talk outline.

- **Adversarial examples for online content blockers**
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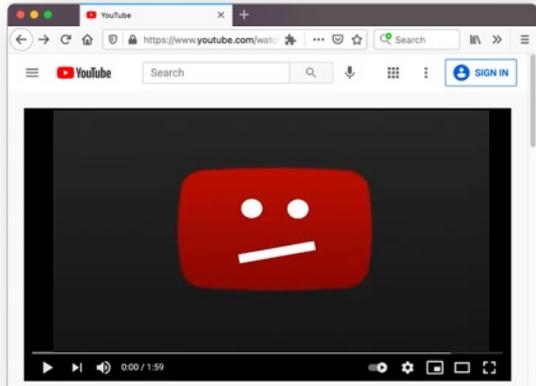
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# Why focus on content blocking?

Many systems can be fooled with adversarial examples.

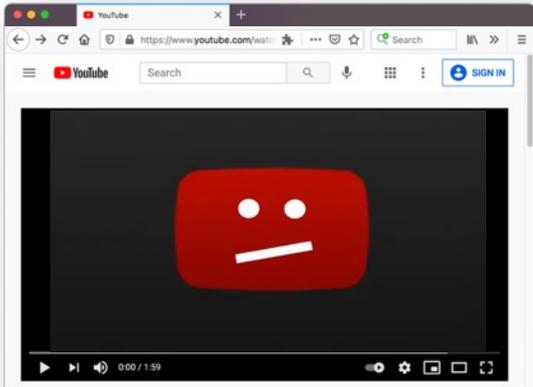
## content blockers



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**content blockers**



**facial recognition**

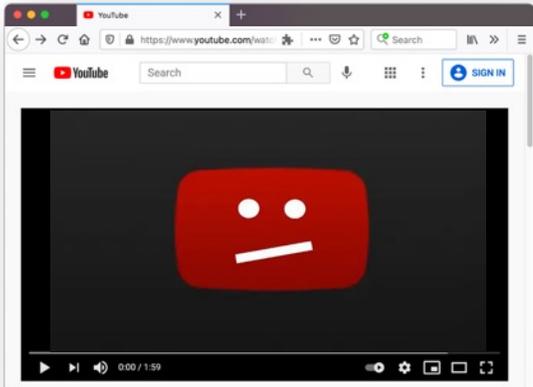


*Sharif et al. 2016*

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*Sharif et al. 2016*

**self-driving**

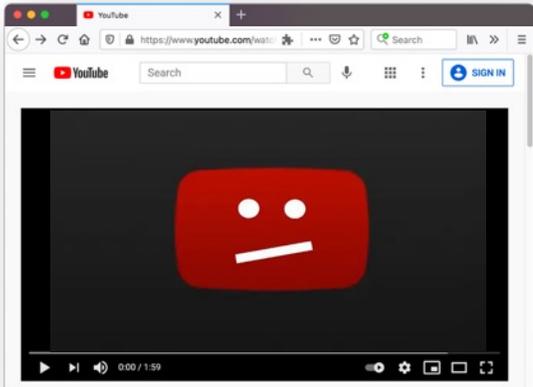


*Eykholt et al. 2018*

# Why focus on content blocking?

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## content blockers



## facial recognition



*Sharif et al. 2016*

## self-driving



*Eykholt et al. 2018*

## voice assistants

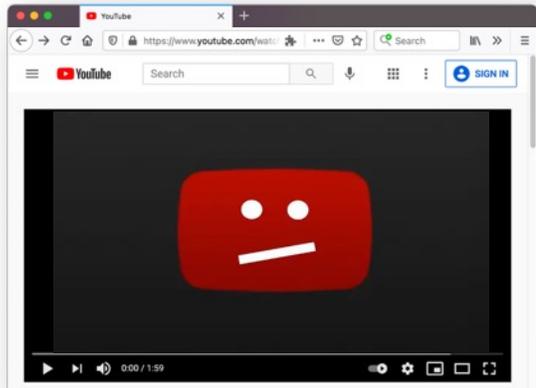


*Carlini et al. 2016*

# Why focus on content blocking?

Many systems can be fooled with adversarial examples.

content blockers



facial recognition



*Sharif et al. 2016*

self-driving



*Eykholt et al. 2018*

voice assistants



*Carlini et al. 2016*

**Claim:** adversarial examples are “overkill”!

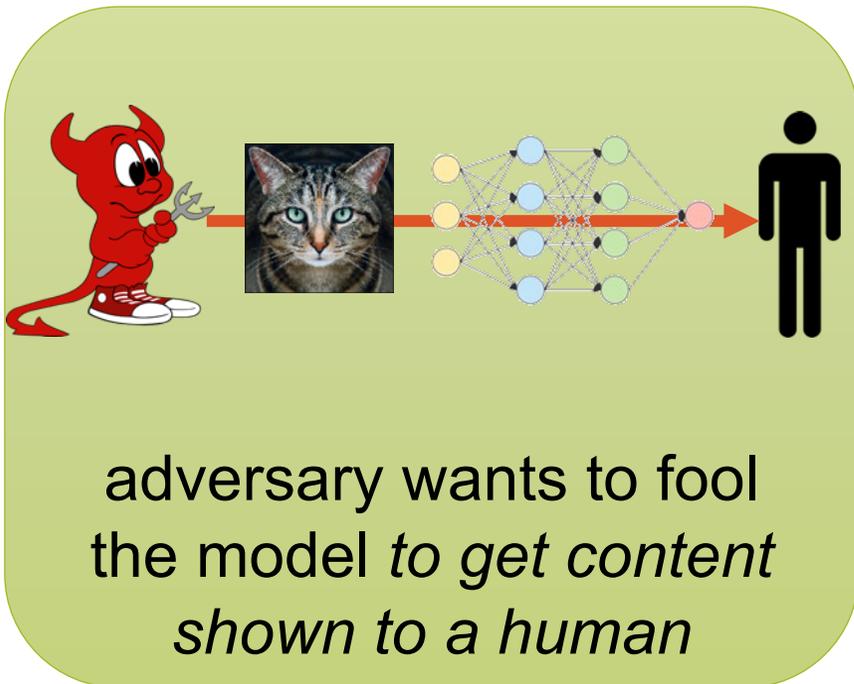
# Content blockers *always* operate in the presence of a human.

content blockers

facial recognition

self-driving

voice assistants



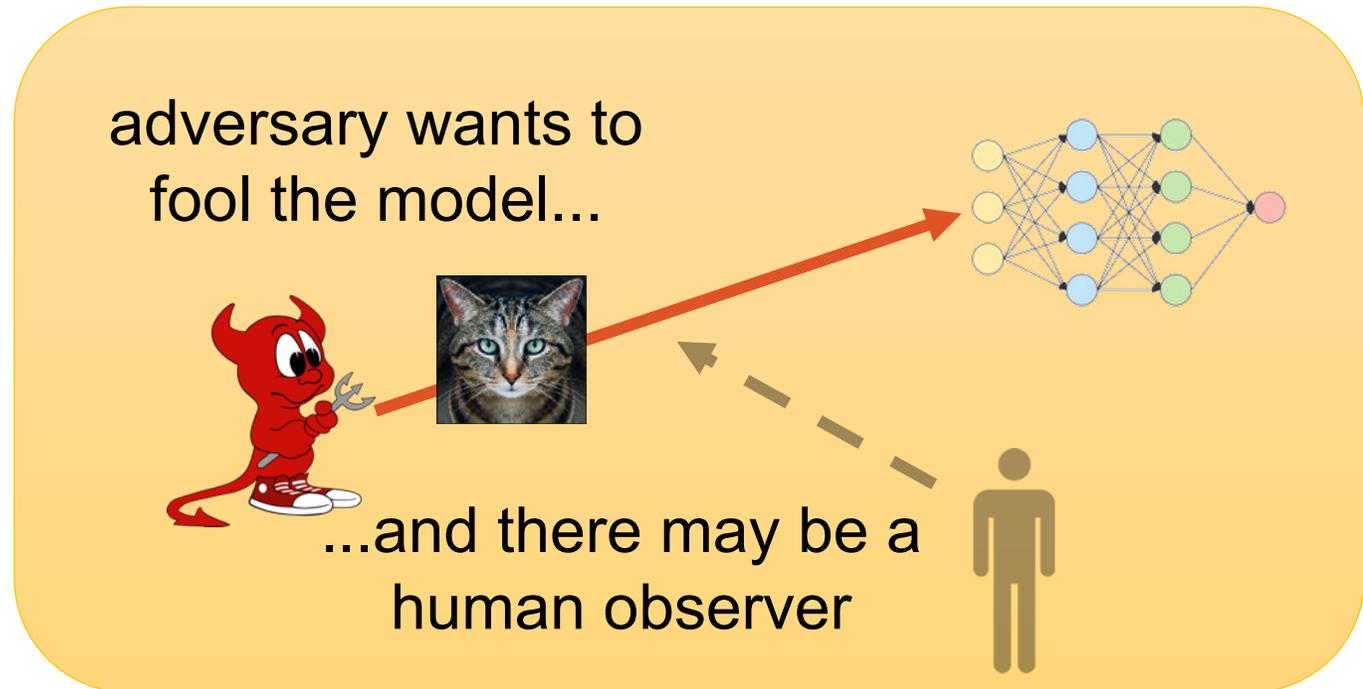
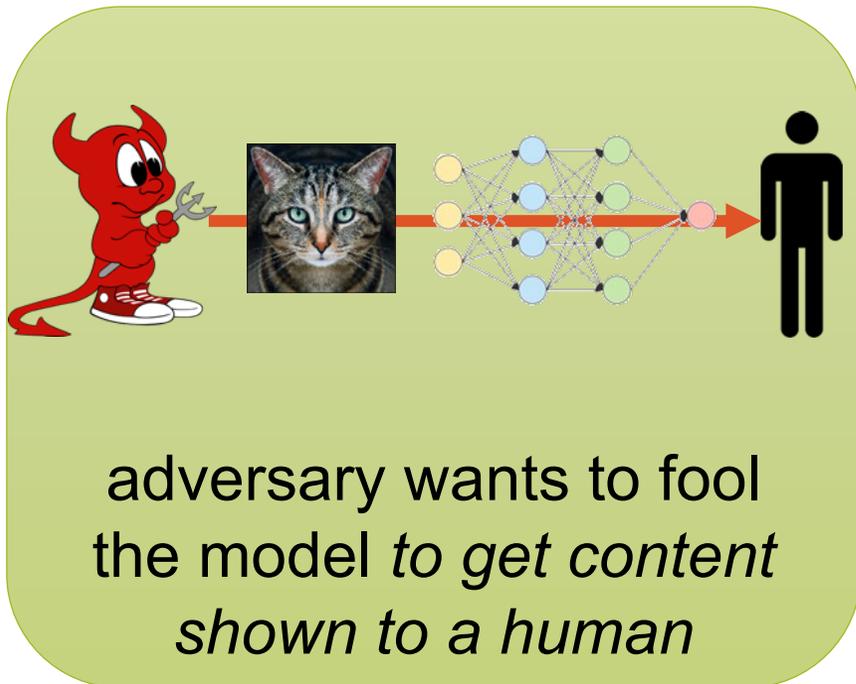
# For other systems, security must hold whether there is a human observer or not.

content blockers

facial recognition

self-driving

voice assistants



For such systems, security must also hold against “conspicuous” attacks.

## facial recognition



BUSINESS  
INSIDER

For such systems, security must also hold against “conspicuous” attacks.

facial recognition



BUSINESS  
INSIDER

self-driving



*Olsson 2019*

# For such systems, security must also hold against “conspicuous” attacks.

## facial recognition



BUSINESS  
INSIDER

## self-driving



# For such systems, security must also hold against “conspicuous” attacks.

## facial recognition



BUSINESS  
INSIDER

## self-driving



*Olsson 2019*

## voice assistants



# For such systems, security must also hold against “conspicuous” attacks.

## facial recognition



BUSINESS  
INSIDER

## self-driving



*Olsson 2019*

## voice assistants



Content blocking is the only application where “small” perturbations are ***necessary*** for a successful attack.

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# Can we build a *robust* ML model?

“Yes”, but only in a very restrictive “toy” setting,  
that has little relevance for practical attacks,  
and the best defense only works <50% of the time,  
and most defenses don’t work at all.

**Short answer: No!**

# A formal model for robustness.

- Train a model  $f(\cdot)$  on a distribution  $\mathcal{D}$  of labelled inputs  $(x, y)$
- The adversary *perturbs* test inputs  $x$  sampled from  $\mathcal{D}$  with noise  $\delta$

## Which perturbations $\delta$ do we allow?

- Ideal: any “semantically small” perturbation



*ambiguous, hard to formalize*

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## Which perturbations $\delta$ do we allow?

- Ideal: any “semantically small” perturbation
- Relaxation: perturbations  $\delta$  from a **fixed** set  $S$

Example:  $S = \{\delta: \|\delta\|_{\infty} \leq 20\%\}$

$\max |\delta_i|$

*necessary but not sufficient*

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## Ultimate goal:

- discover defensive techniques that *generalize* across perturbation sets

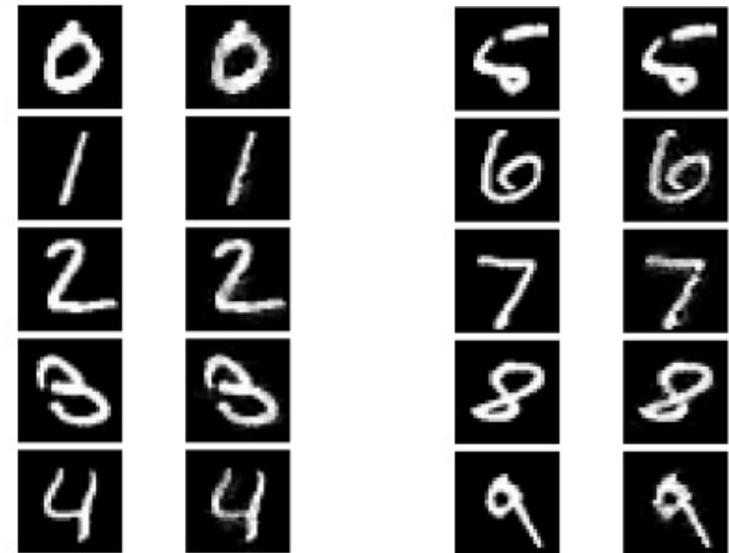
# The state-of-the-art in robust ML.

## MNIST digit classification [LeCun et al., '98]

➤ considered “solved” by ML  
(>99.5% accuracy)



➤ 0% accuracy when each pixel value can be perturbed by 20%

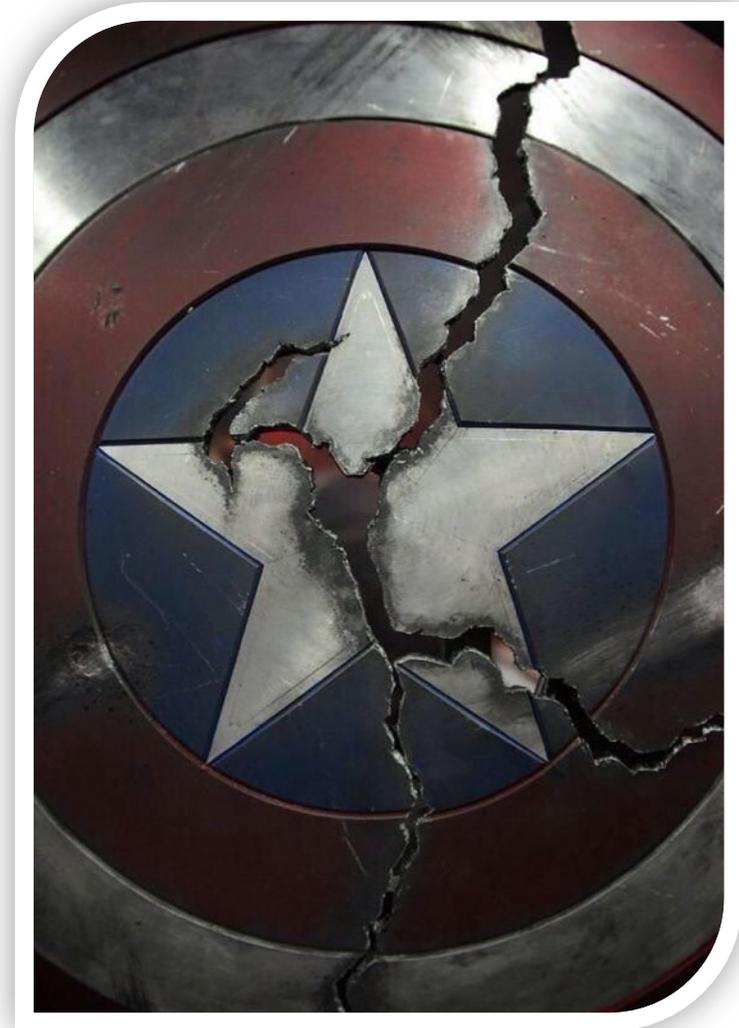


[Carlini & Wagner., '17]

# Most proposed defenses **are broken!**

[Carlini & Wagner '17], [Athalye et al. '18], [T, Carlini, Brendel, Mađry (NeurIPS 2020)], ...

- *denoising*
- *randomization*
- *dimensionality reduction*
- *input transformations*
- *generative modeling*
- *Bayesian learning*
- ...



# Some defenses work.

- **Adversarial training** [Szegedy et al. '13], [Goodfellow et al. '14], [Kurakin et al. '16], [T et al. '17], [Madry et al. '18], [Zhang et al. '19], [Carmon et al. '19], [Uesato et al. '19], [Zhai et al. '19], [Shafahi et al. '19], [Yang et al. '19], [Li et al. '20], ...
- **Certified defenses** [Katz et al. '17], [Wong et al. '17], [Raghunathan et al. '18], [Gehr et al. '18], [Lecuyer et al. '18], [Zhang et al. '18], [Mirman et al. '18], [Weng et al. '19], [Baluta et al. '19], [Cohen et al. '19], [Singh et al. '19], [Gluch et al. '20], ...

# Some defenses work, **but don't generalize...**

- Adversarial training [Szegedy et al. '13], [Goodfellow et al. '14], [Kurakin et al. '16], [T et al. '17], [Madry et al. '18], [Zhang et al. '19], [Carmon et al. '19], [Uesato et al. '19], [Zhai et al. '19], [Shafahi et al. '19], [Yang et al. '19], [Li et al. '20], ...
- Certified defenses [Katz et al. '17], [Wong et al. '17], [Raghunathan et al. '18], [Gehr et al. '18], [Lecuyer et al. '18], [Zhang et al. '18], [Mirman et al. '18], [Weng et al. '19], [Baluta et al. '19], [Cohen et al. '19], [Singh et al. '19], [Gluch et al. '20], ...

**recall:** we only consider perturbations  $\delta$  from a *fixed* set  $S$

**issue:** all defenses above are ***explicitly tailored to a chosen set  $S$***

***defenses overfit to the chosen set***

T, Behrmann, Carlini, Papernot, Jakobsen  
(ICML 2020)

***generalizing to richer sets hurts robustness***

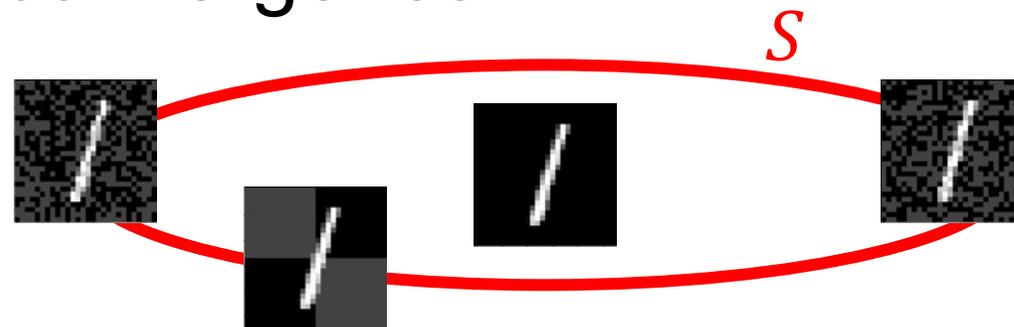
T & Boneh (NeurIPS 2019 *spotlight*)

# Adversarial training: a defense for a *fixed* perturbation set.

[Szegedy et al., '14], [Goodfellow et al., '15], [Madry et al., '17]

max. per-pixel noise

1. Choose a set  $S$  of perturbations: e.g.,  $S = \{\delta: \|\delta\|_\infty \leq 20\%\}$
2. For each input , find the *worst* adversarial example: 
3. Train the model on 
4. Repeat until convergence



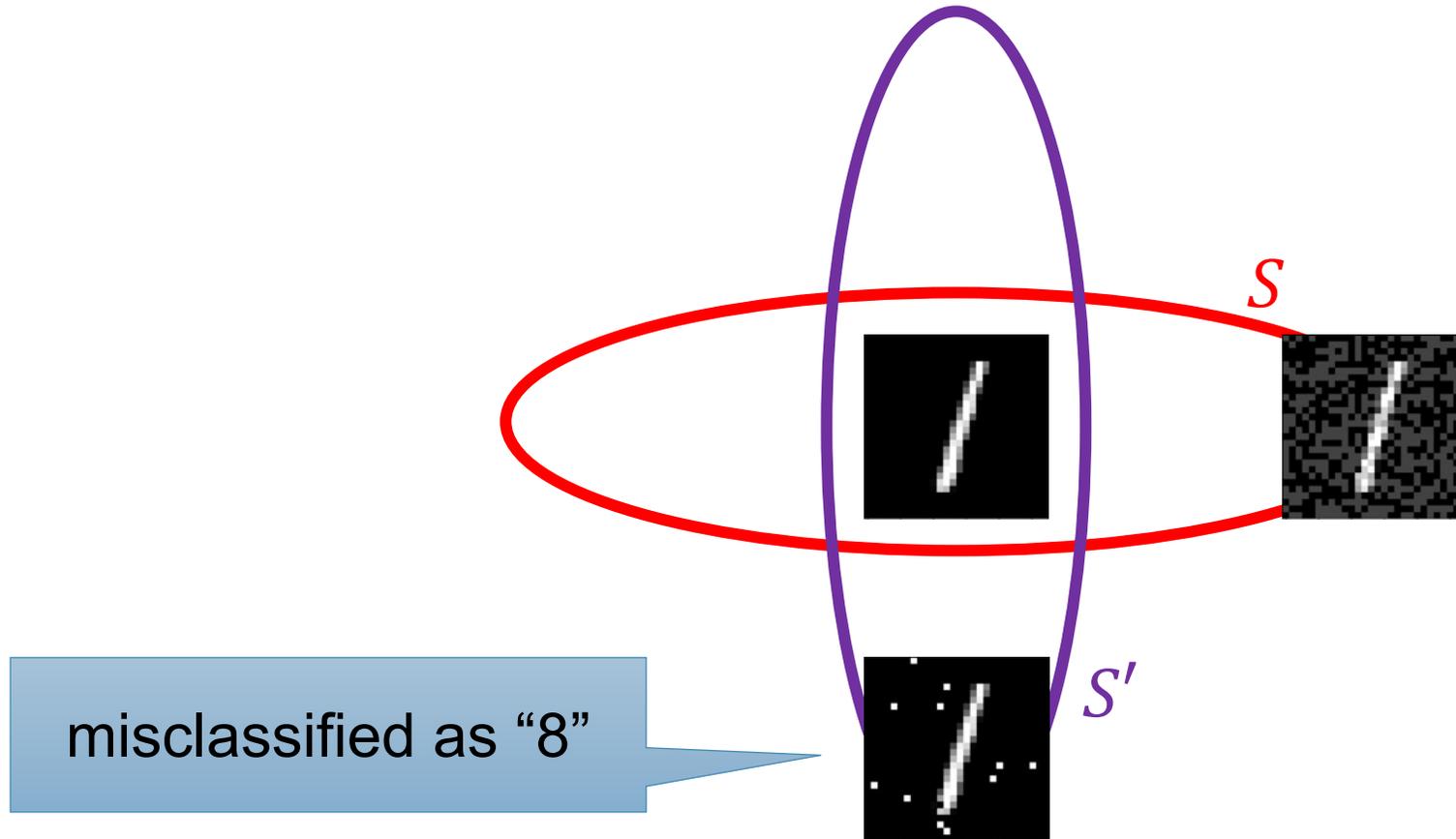
all images in the set are classified as "1"

# Defenses fail for noise *outside the chosen set.*

[Engstrom et al., '17], [Sharma & Chen, '18]

sum of perturbed pixels

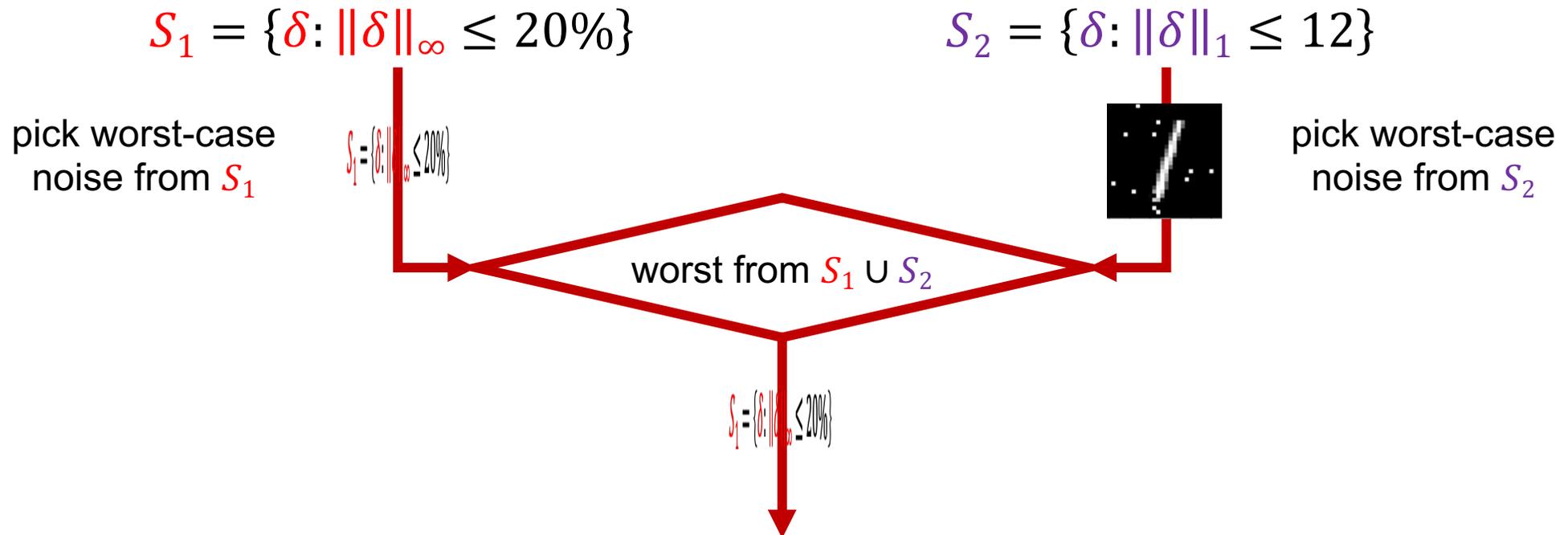
- Attack with a perturbation from  $S' = \{\delta: \|\delta\|_1 \leq 12\}$



# Why not learn to resist **multiple noise types**?

T & Boneh (NeurIPS 2019 *spotlight*)

1. Choose **multiple** sets of perturbations  $S_1, S_2, \dots$
2. Train a model against worst perturbation from  $S_1 \cup S_2 \cup \dots$



# Resisting **multiple noise types** is **costly**.

T & Boneh (NeurIPS 2019 *spotlight*)

1. Choose **multiple** sets of perturbations  $S_1, S_2, \dots$
2. Train a model against worst perturbation from  $S_1 \cup S_2 \cup \dots$



# Can adversarial training **solve adversarial examples?**

**recall our ultimate goal:**

defenses that are robust to any “small” perturbation

➤ adversarial training requires knowing the perturbation set *a priori*

**Theorem (informal):** [T, Behrmann, Carlini, Papernot, Jakobsen, ICML 2020]

Finding a “complete” perturbation set is **as hard as** building a “perfect” classifier.

Take away: we don't have robust machine learning in adversarial settings.



THE WALL STREET JOURNAL.

TECH

**Facebook, YouTube, Twitter Scramble to Remove Video of New Zealand Mosque Shooting**



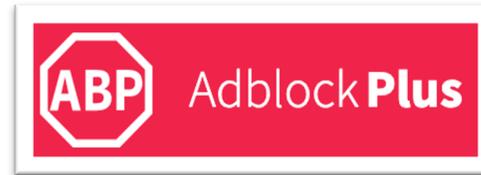
**MOTHERBOARD**  
TECH BY VICE

**Researchers Defeat Most Powerful Ad Blockers, Declare a 'New Arms Race'**

Take away: we don't have robust machine learning in adversarial settings.

But, we now have:

1. *industry awareness of security risks*



2. *understanding of inherent limitations of defenses*



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# Adblock Plus and (a little) more

## Sentinel is Online

• 2018-06-27 16:05 by Tom Woolford

Are you ready to [feed the machine?](#)



**SENTINEL**

# **Researchers Defeat Most Powerful Ad Blockers, Declare a 'New Arms Race'**

## Adblock Plus 3.6.2 is Out and With Interesting Updates

 Adblock Plus

Because of the obvious limitations of Sentinel, we came up with a highly-usable perceptual ad-blocking approach, in the form of the newly released perceptual hashing snippet. It does not use any machine-learning techniques per se, but it marks a first ever perceptual ad-blocking approach in production, and allows us to grow in an innovative way.

AdChoices 

**Goal:** detect ad disclosures  
using image hashes

AdChoices 

**Problem:** these techniques  
are not robust either

## Where are the names

Anonymous Coward · an hour ago

Seriously? Where are the names of these scumbags^d researchers. I'm driving down to Stanford, stopping by a Home Depot to pick a 2x4, a bag of lye and a shovel. Will have some very intimate conversations with these "researchers"

[Reply](#) [Share](#)

## Shut down unethical project #1

 Open

impredicative opened this issue 20 days ago · 0 comments



impredicative commented 20 days ago · edited ▾

+ 😊 ...

Florian Tramèr,

This project seems grossly unethical and it should be shut down. Are the department head and dean at Stanford University aware of this unethical work?

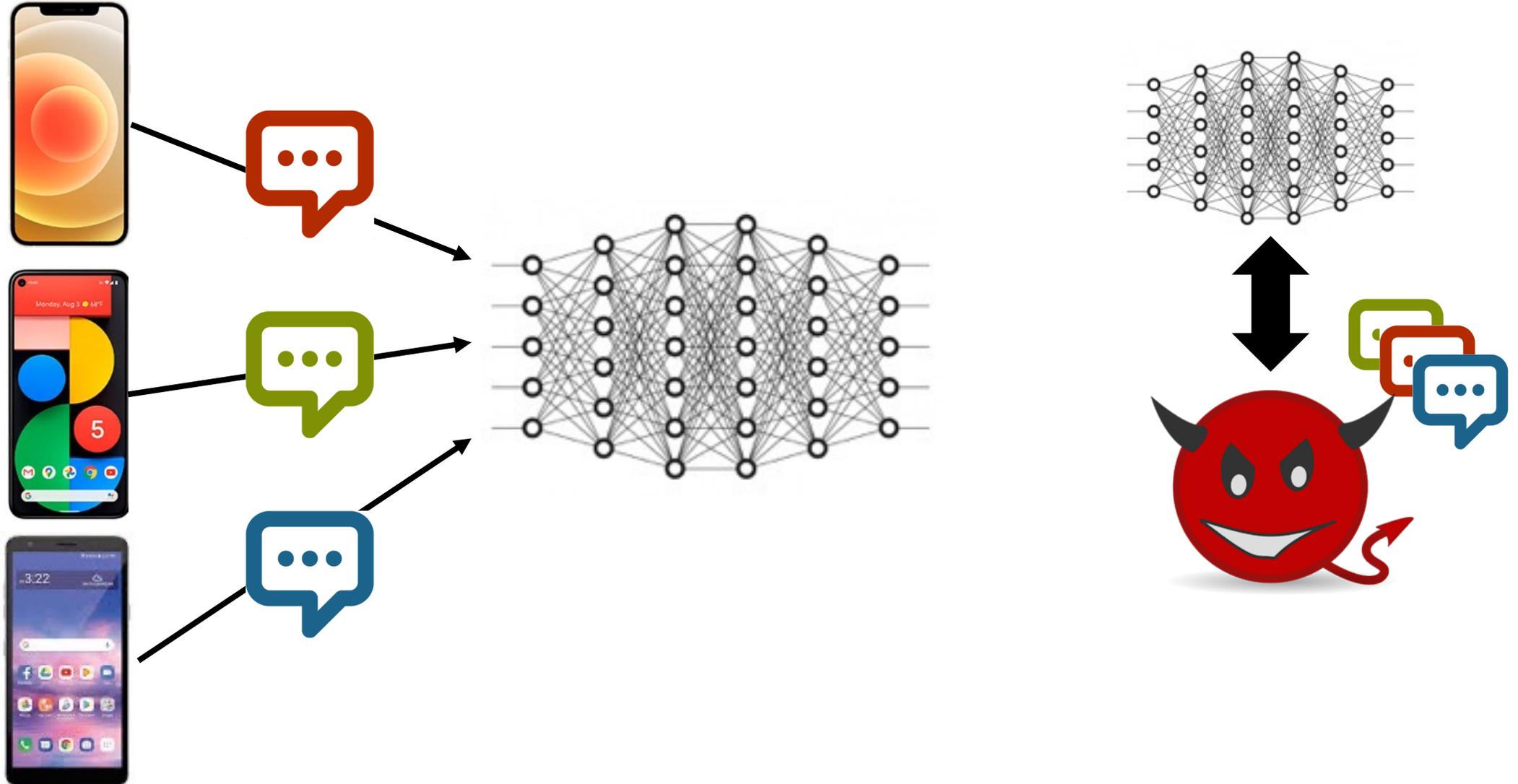
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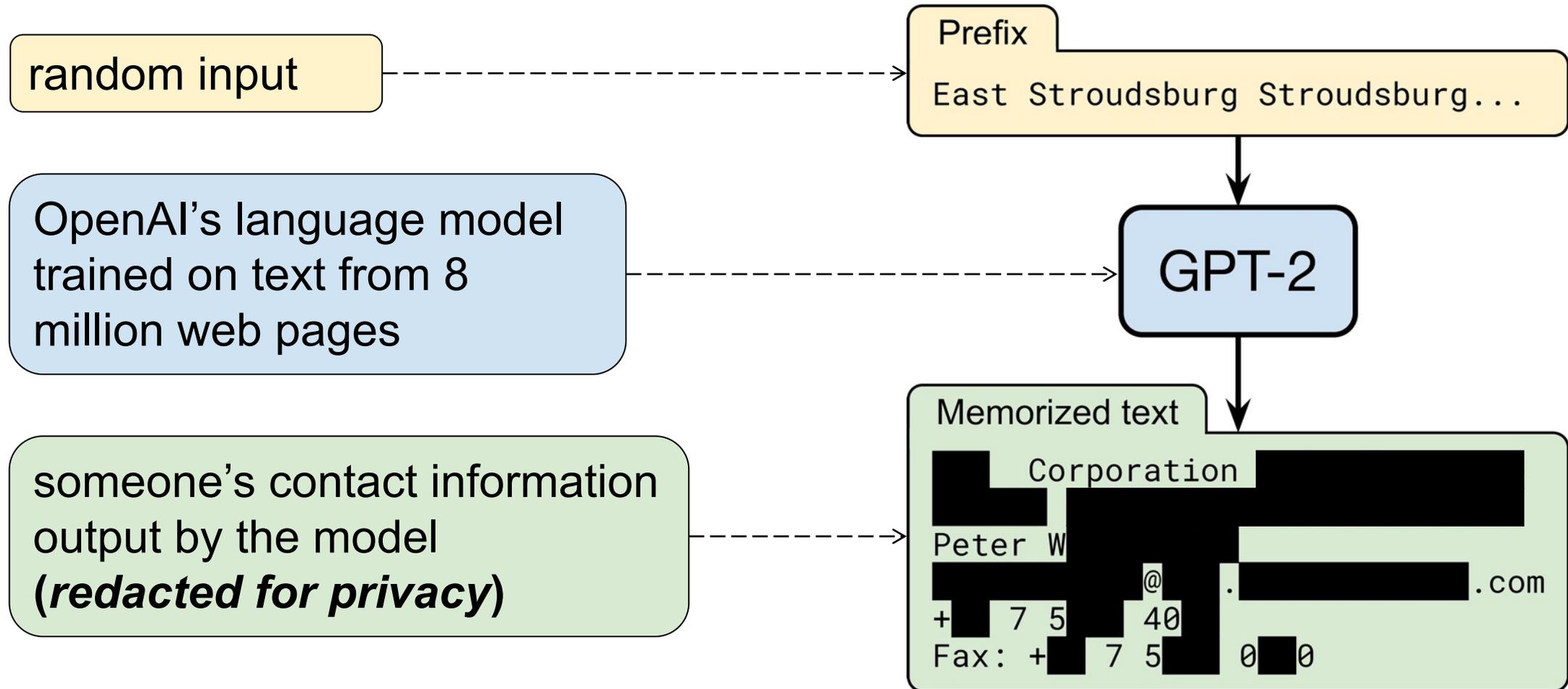
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ML models are often trained on **private data**.



# Challenge: models **leak** their training data.

Carlini, T, Wallace, Jagielski, Herbert-Voss, Lee et al. (preprint 2020)



# Data leaks have dramatic *consequences!*

for users...

*The New York Times*  
*Data Breach Victims Talk of Initial Terror, Then Vigilance*

for companies...

  
Facebook could face \$1.63bn fine under GDPR over latest data breach

  
**FTC settlement with Ever orders data and AIs deleted after facial recognition pivot**

# Preventing data leakage with decade-old ML

T & Boneh (ICLR 2021 *spotlight*)

- *provably* prevent leakage of training data.  
using *differential privacy*

Extensions: distributed or federated learning

[Dean et al. '12], [McMahan et al. '16], [Lian et al. '17]

- *better accuracy* than with deep learning methods.  
using *domain-specific feature engineering*

# Differential privacy prevents data leakage.

[Dwork et al. '06]

**intuition:** *randomized* training algorithm is not influenced (too much) by any individual data point

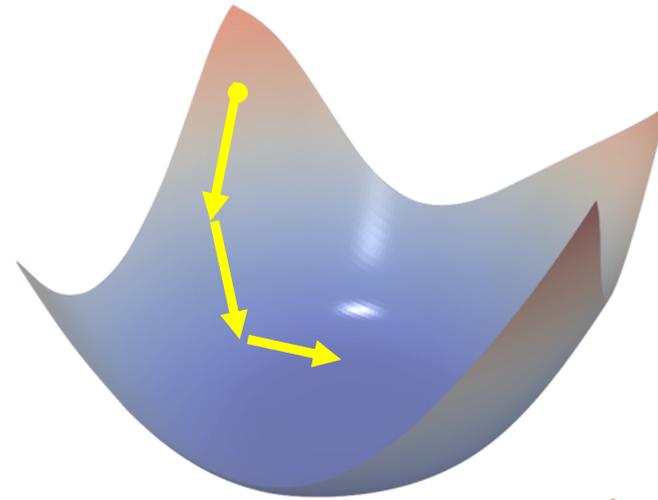
for any two datasets that differ in a single element

$$\frac{\Pr[A_{\text{train}}(\text{cat}, \text{puppy}, \text{pig}) = \text{NN}]}{\Pr[A_{\text{train}}(\text{cat-mask}, \text{puppy}, \text{pig}) = \text{NN}]} \leq e^\epsilon$$

The equation shows the ratio of probabilities for two datasets that differ by a single element (a cat image vs. a cat wearing a mask). The probability of the training algorithm outputting a specific neural network (NN) is shown to be bounded by  $e^\epsilon$ . A blue arrow points from the text above to the top image in the numerator. A red circle highlights the  $\epsilon$  in the denominator.

# Differentially private learning is possible with *noisy gradient descent*.

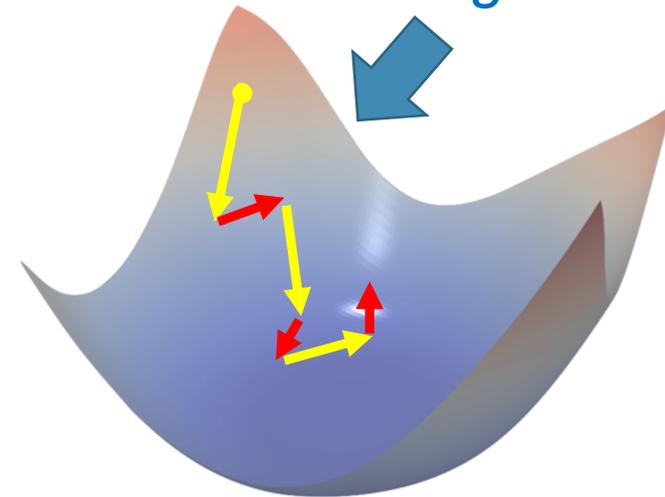
Gradient descent



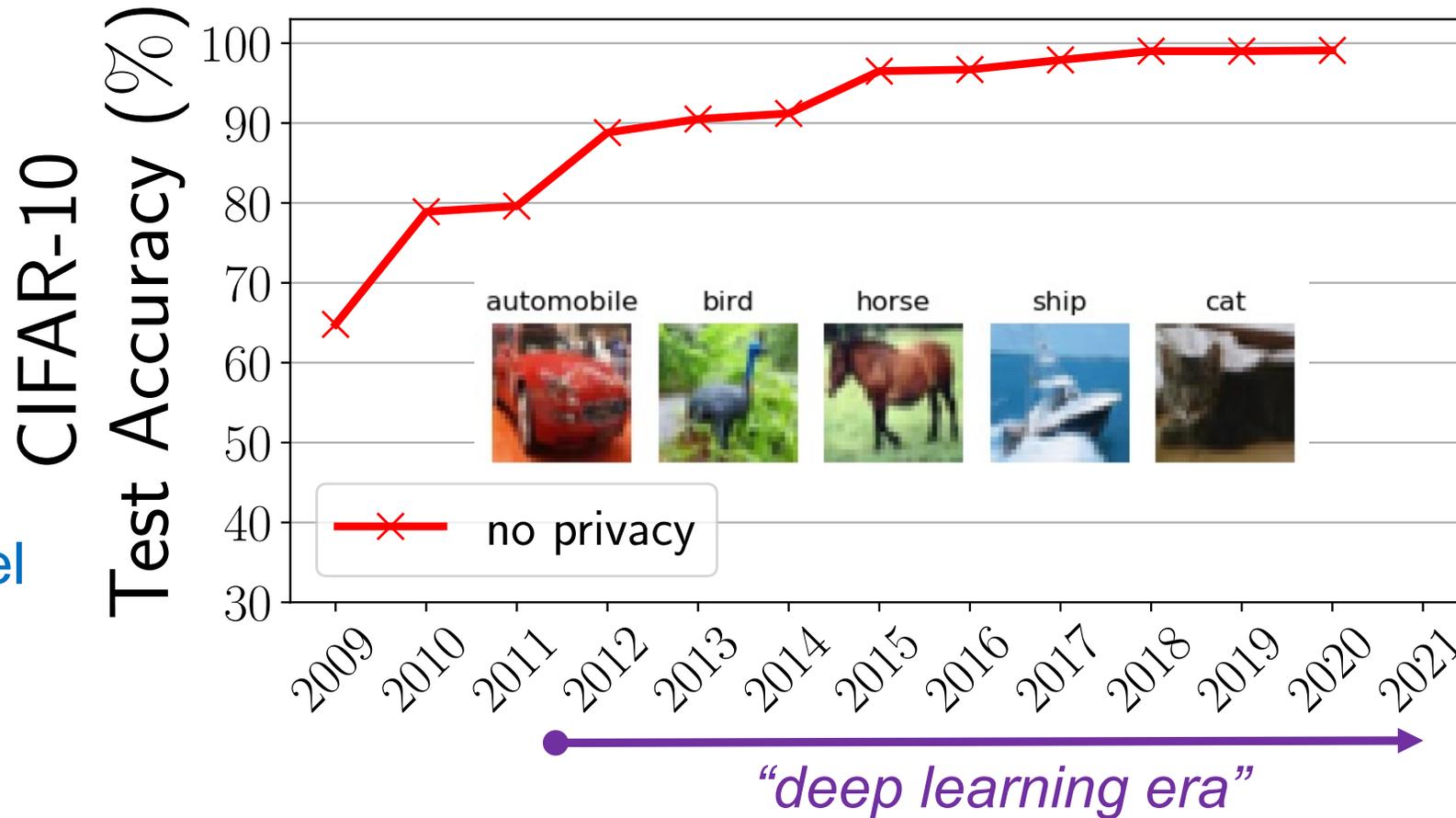
*add noise to each step  
to guarantee privacy*

*Private* gradient descent

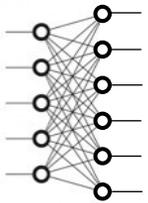
[Chaudhuri et al., '11], [Bassily et al. '14],  
[Shokri & Shmatikov '15], [Abadi et al. '16], ...



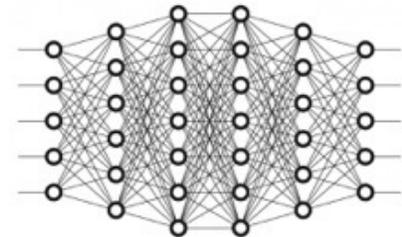
# Non-private deep learning can achieve near-perfect accuracy.



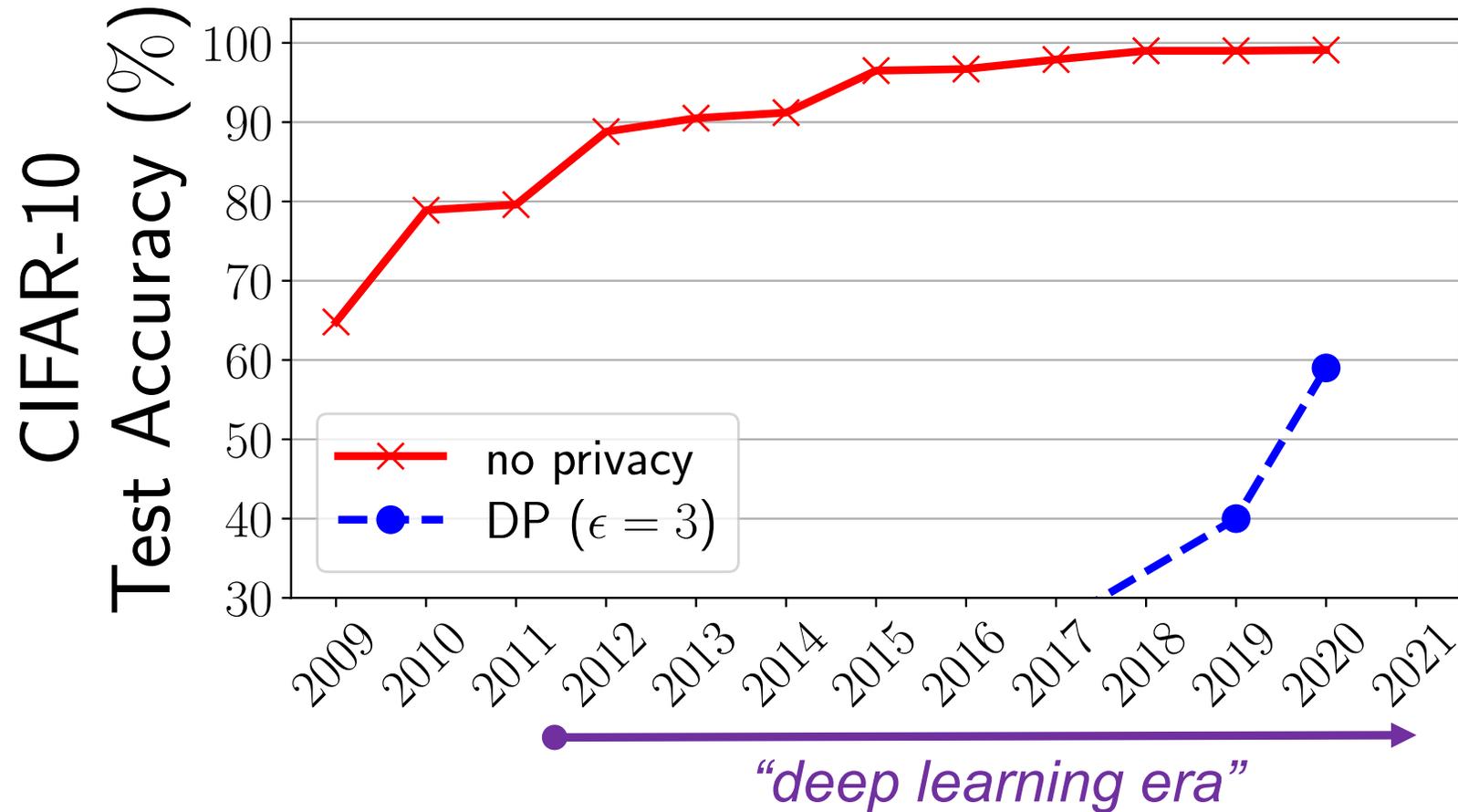
“shallow” model



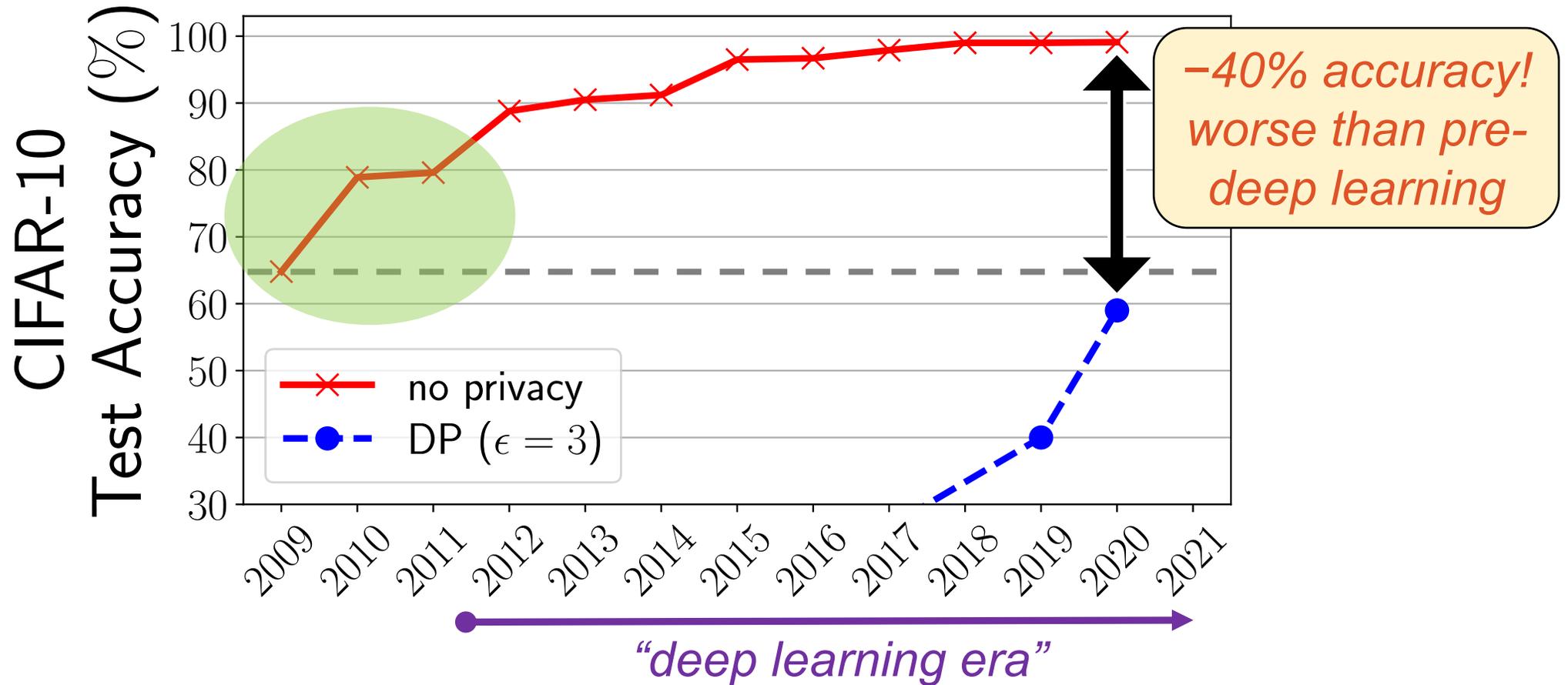
“deep” model



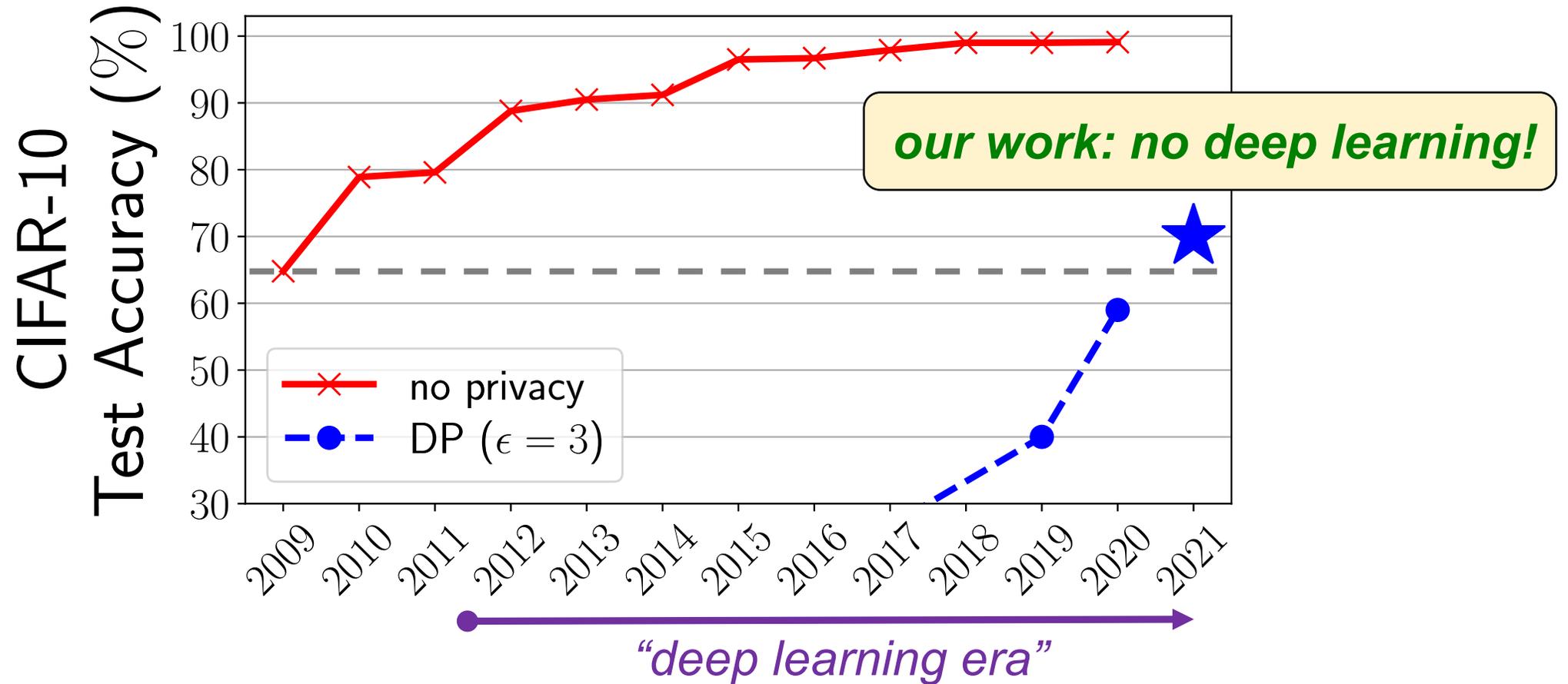
# Differentially private deep learning lowers accuracy significantly.



# Differentially private deep learning lowers accuracy significantly.

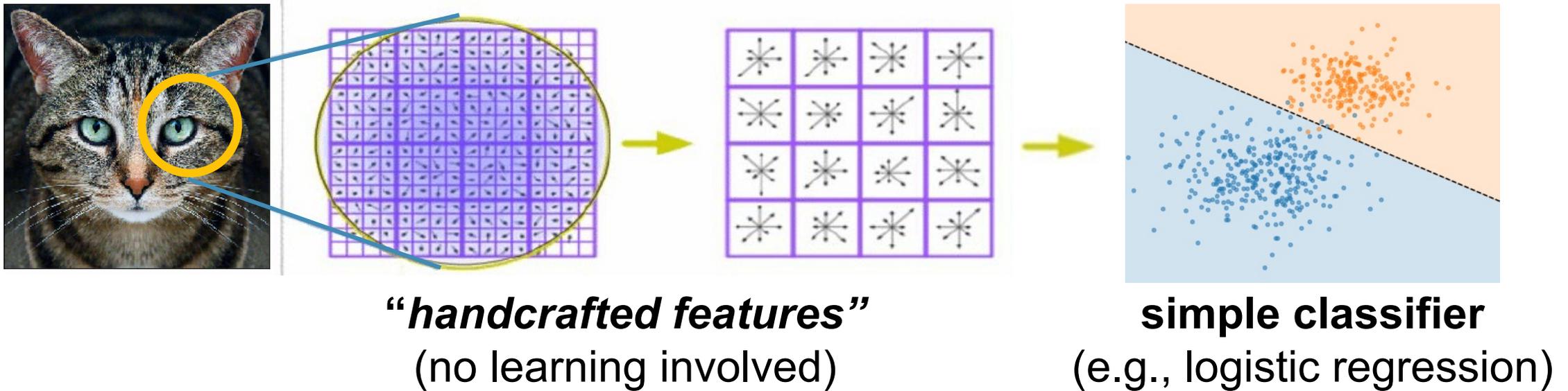


# Differential privacy *without deep learning* improves accuracy.



# Privacy-free features from “old-school” image recognition.

SIFT [Lowe '99, '04], HOG [Dalal & Triggs '05], SURF [Bay et al. '06], ORB [Rublee et al. '11], ...  
Scattering transforms: [Bruna & Mallat '11], [Oyallon & Mallat '14], ...

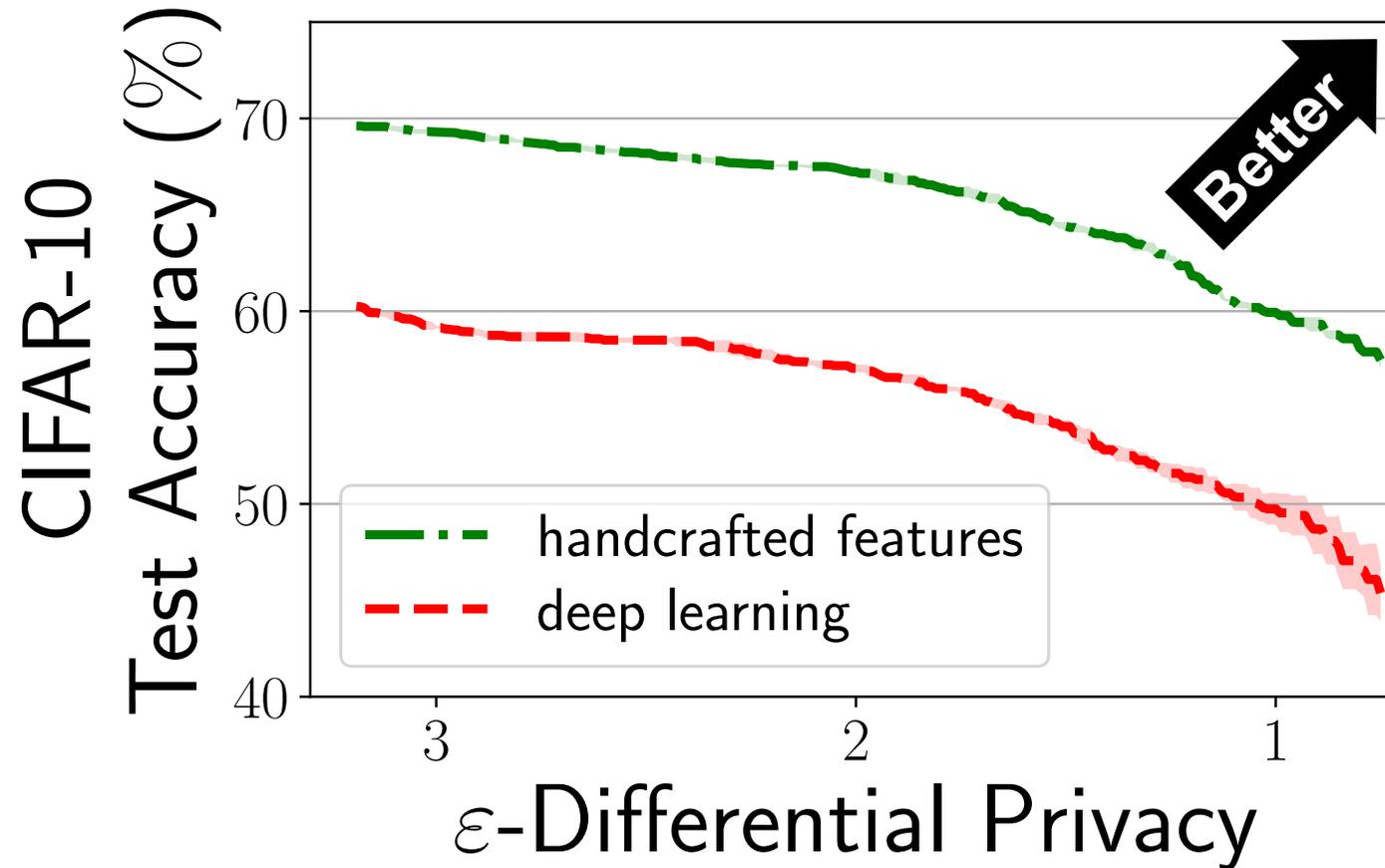


*privacy free*

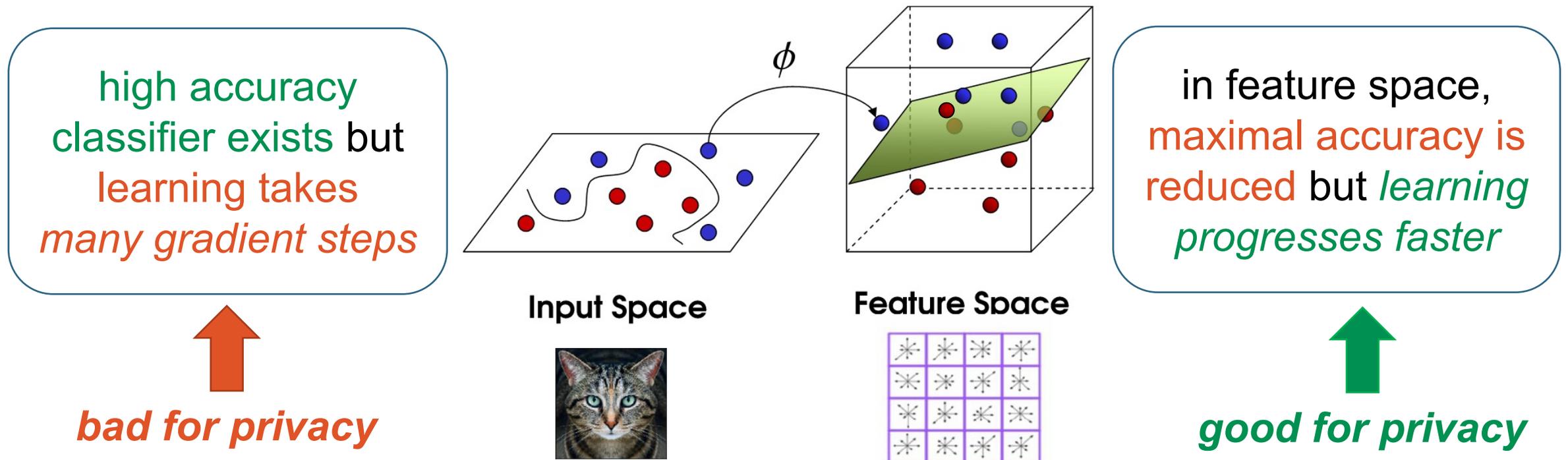


captures some *prior* about the domain: e.g., invariance under rotation & scaling

Handcrafted features lead to a better tradeoff between accuracy and privacy.

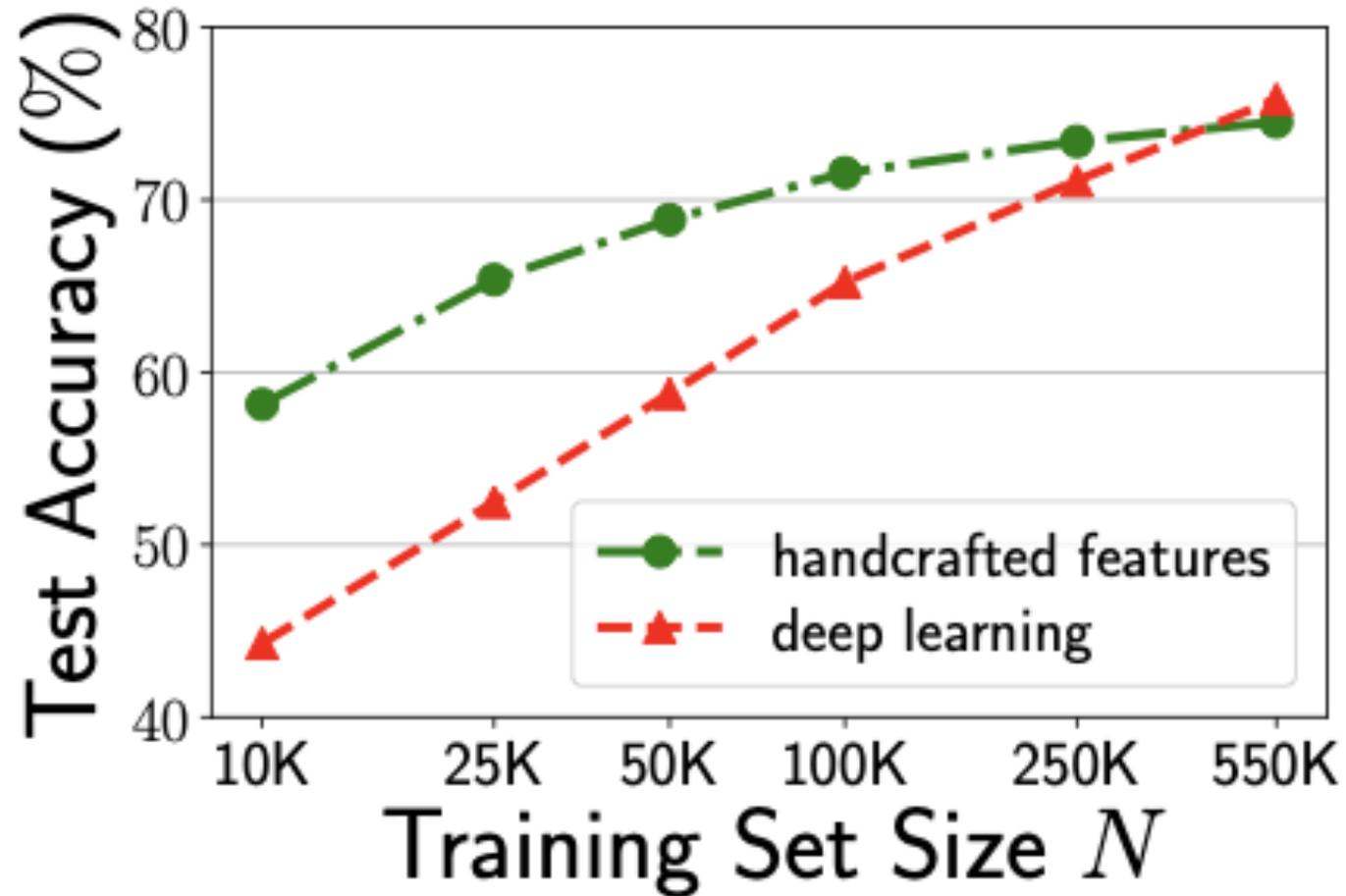


# Handcrafted features lead to an *easier* learning task (for noisy gradient descent).



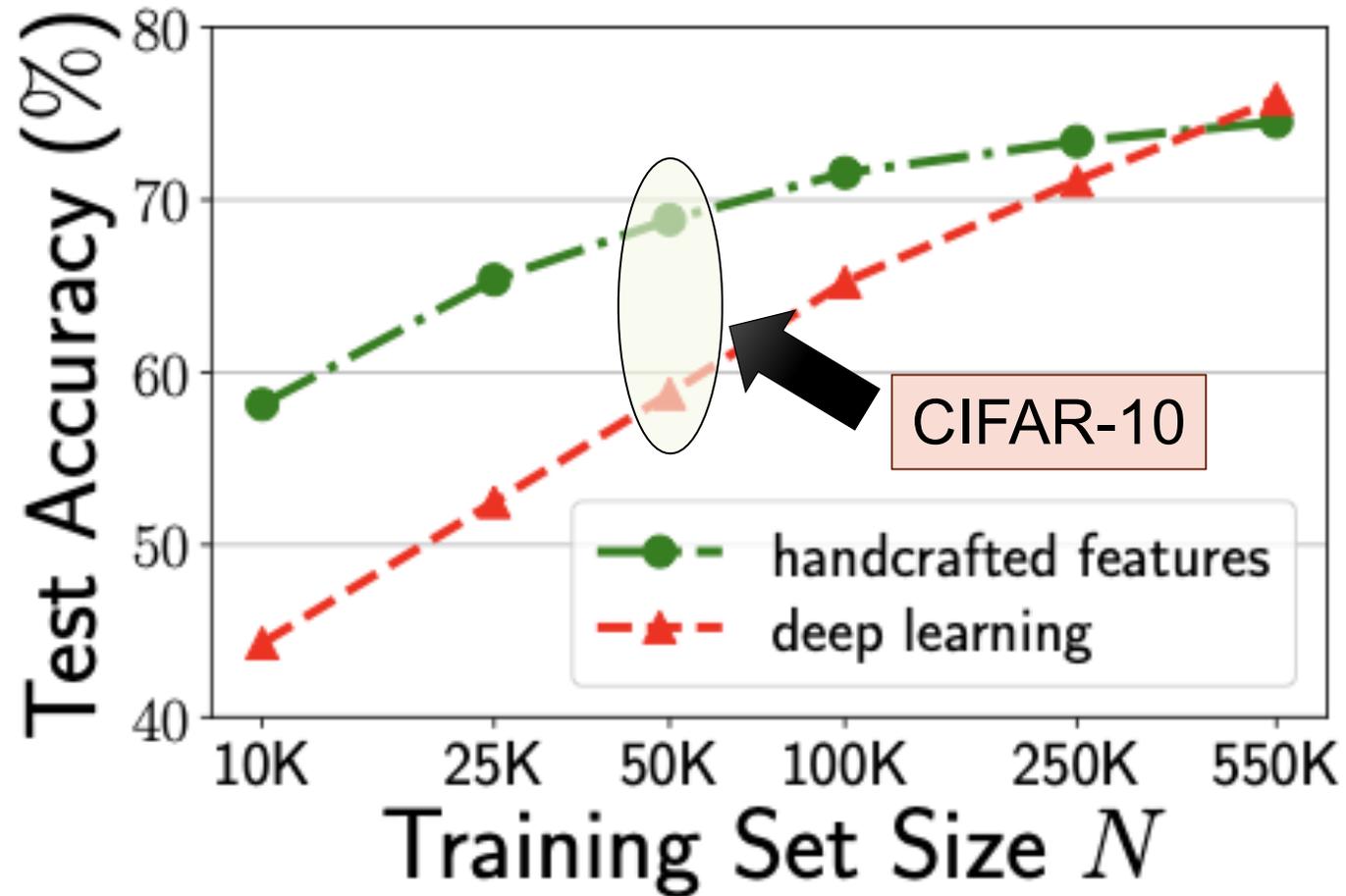
# Surpassing handcrafted features with *more private data*.

(for  $\epsilon = 3$ )



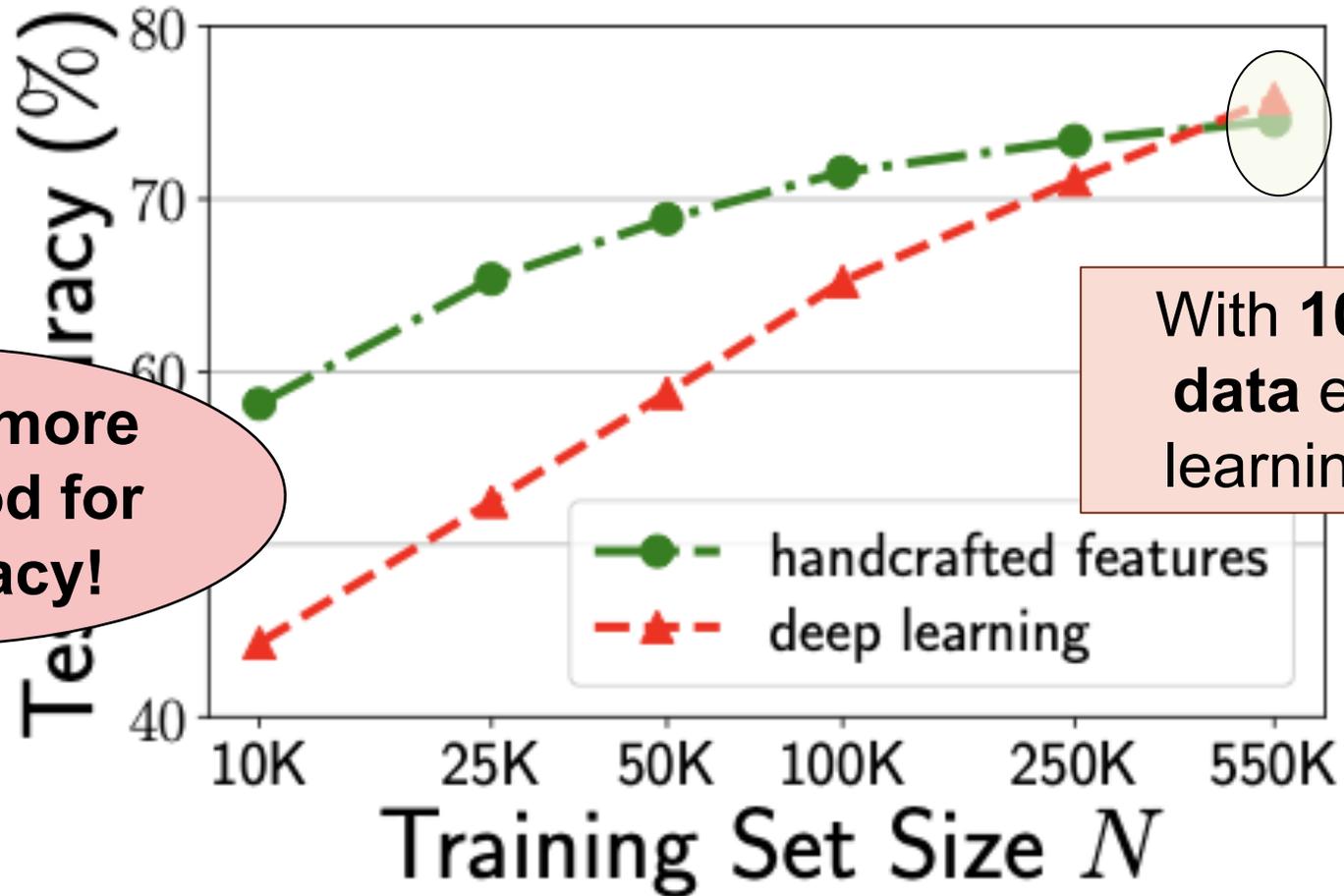
# Surpassing handcrafted features with *more private data*.

(for  $\epsilon = 3$ )



# Surpassing handcrafted features with *more private data*.

(for  $\epsilon = 3$ )



collecting more data is good for your privacy!

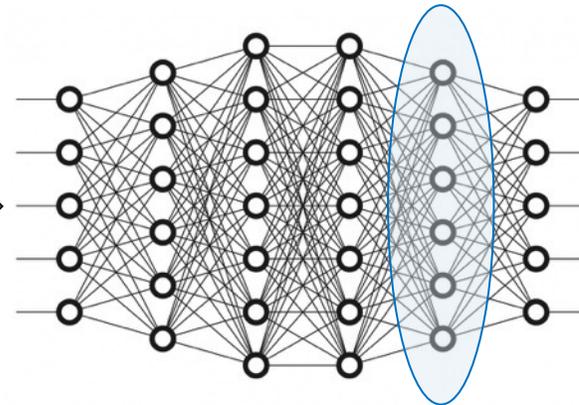
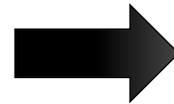


With 10x more private data end-to-end deep learning performs best

# Surpassing handcrafted features with *more public data.*



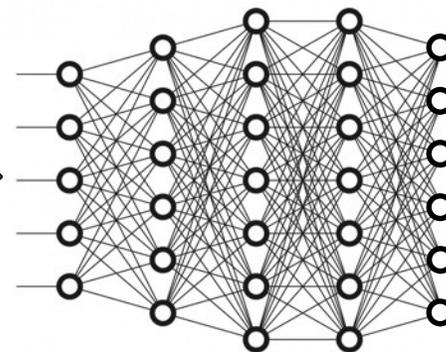
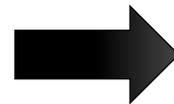
public data



*train a feature extractor  
on public data...*



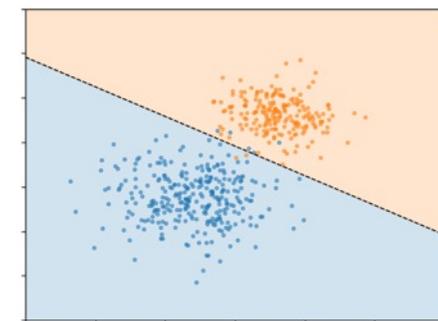
private data



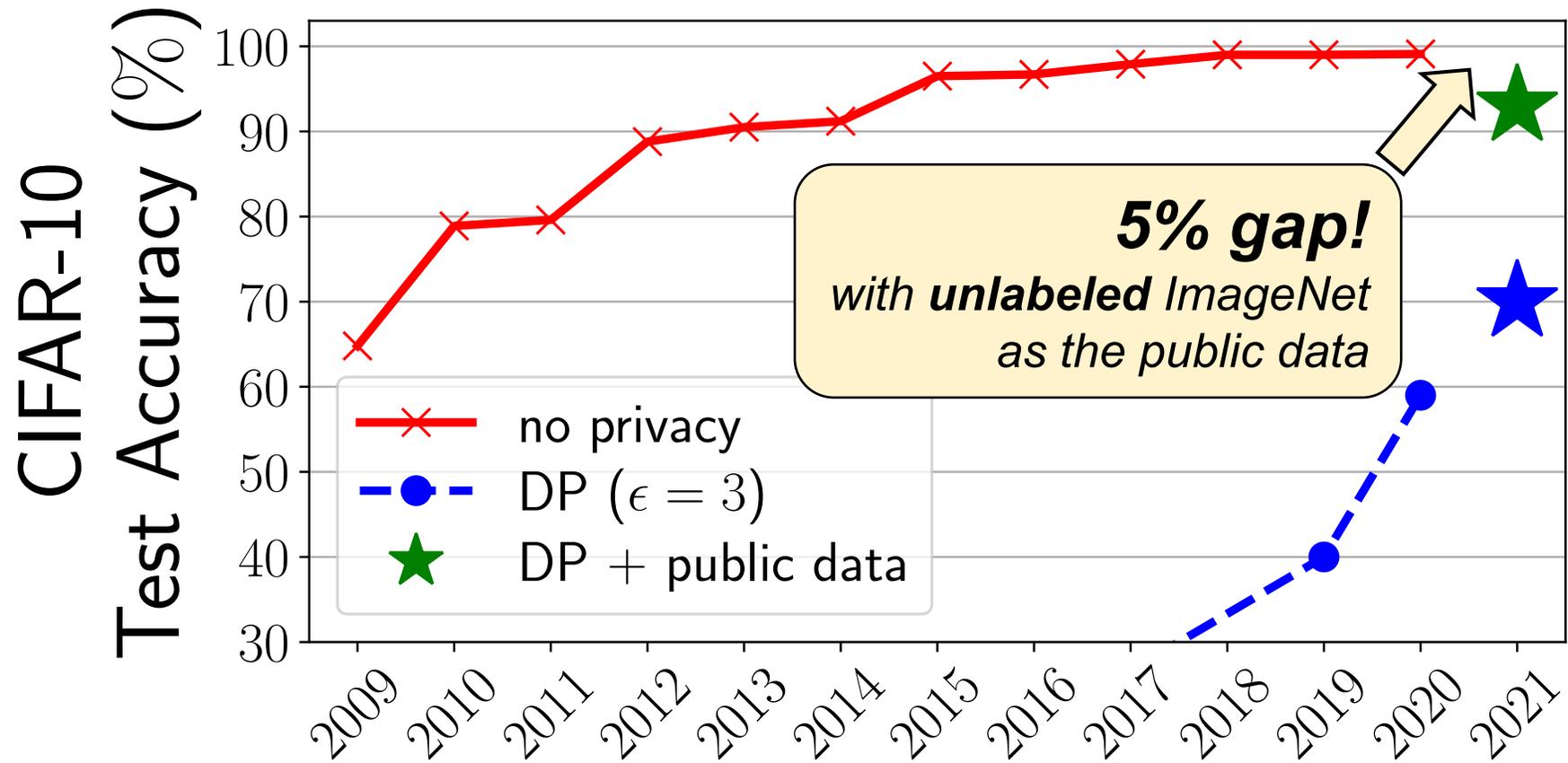
**privacy free**



*...transfer and fine-tune  
on private data*



With access to a public dataset,  
privacy comes almost for free!



# Talk outline.

- Adversarial examples for online content blockers
  - What's the threat model?
  - Limitations of current defenses
  - Industry impact
- Enhancing ML privacy
- Future work

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- Adversarial examples for online content blockers
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# Future work.

## ML security is a critical challenge for our society.

*how do we make ML trustworthy?*



***robustness***



***privacy***



***fairness***



***interpretability***

# Future work: **robustness & privacy**

## Intersections:

- *Adversarial ML for safeguarding or breaching privacy*

with **Evani Radiya-Dixit**  
with **Nicholas Carlini @ Google**

## Scaling private ML:

- *Privacy in large NLP models*
- *Relaxing differential privacy*

with **Percy Liang**  
with **Ilya Mironov @ Facebook**

## Beyond machine learning:

- *Robustness & privacy in decentralized finance*

with **Ari Juels @ Cornell**  
with **Kenny Paterson @ ETHZ**

# Conclusion

ML is currently not *trustworthy*.

- it is not *robust*.
- it is not *private*.

We can get *better robustness* than current ML.

- *humans are an existence proof.*

We can get *better privacy* than current ML.

- *with differential privacy and feature engineering.*

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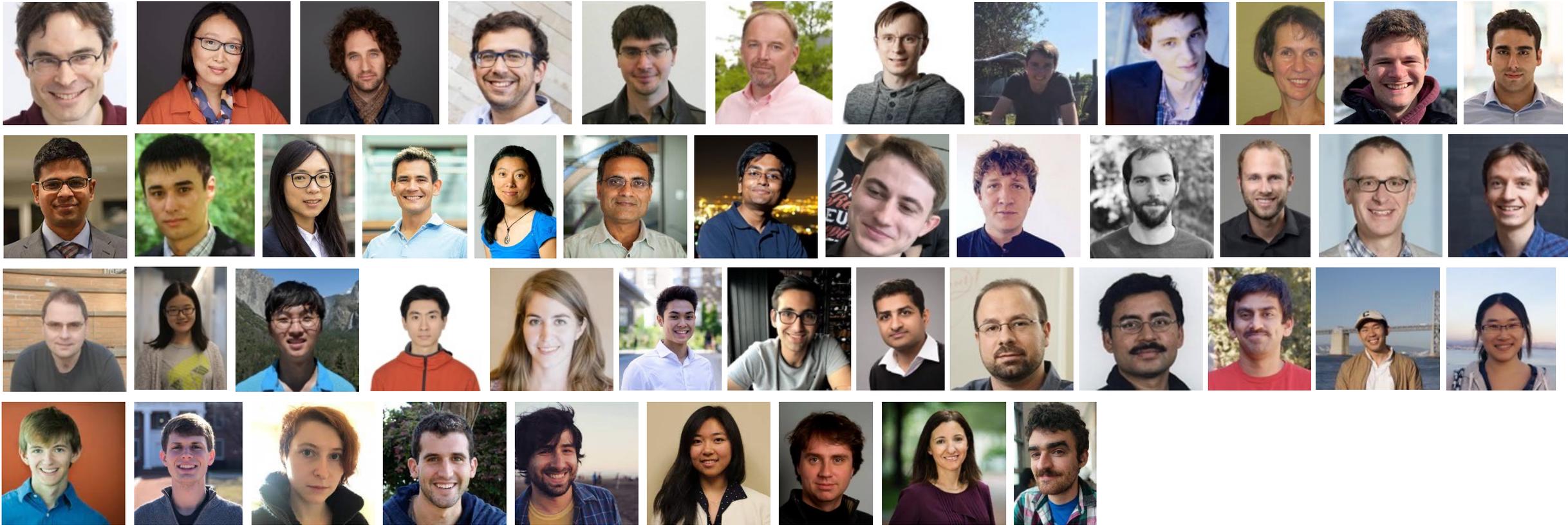
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**Thank you!**

# Acknowledgments

# Many! external collaborators (somewhat chronological since 2017)



# Especially fruitful collaborations.



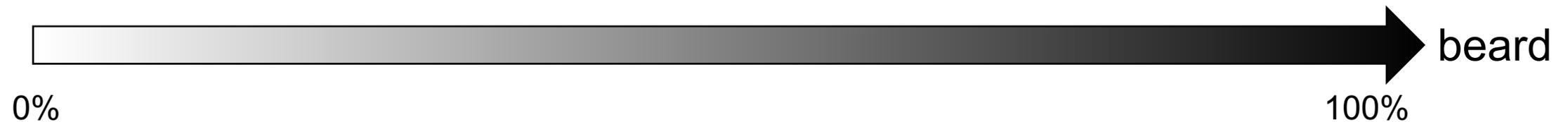
**Ari Juels**  
Cornell Tech



**Nicolas Papernot**  
University of Toronto



**Nicholas Carlini**  
Google



# Stanford collaborators.



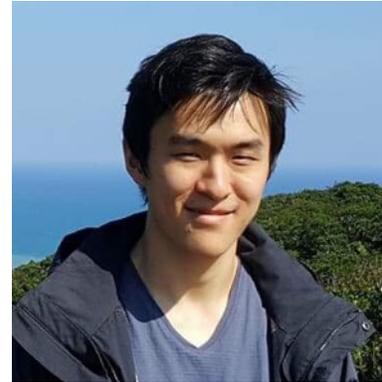
**Giancarlo Pellegrino**



**Gili Rusak**



**Blanca Villanueva**



**Edward Chou**



**Evani Radiya-Dixit**

# Stanford's amazing staff.

- Ruth Harris
- Megan Harris
- Jay Subramanian
- Jam Kiattinant
- Rolando Villalobos

CS Department

Bechtel International Center



Switzerland

≠



Swaziland

# The crypto group, past & present.



# The CS-355 staff + *students!*



**David Wu**



**Henry Corrigan-Gibbs**



**Sam Kim**



**Dima Kogan**



**Saba Eskandarian**



**Katy Woo**

# Amazing and infinite sources of advice.



**Jean-Pierre Hubaux**  
EPFL



**Ari Juels**  
Cornell Tech



**Nicolas Papernot**  
University of Toronto



**Kenny Paterson**  
ETHZ



**Henry Corrigan-Gibbs**  
MIT



**Giancarlo Pellegrino**  
CISPA



**Ludwig Schmidt**  
University of Washington

# Committee members.



**Mykel Kochenderfer**



**Moses Charikar**



**Percy Liang**



**Gregory Valiant**

# My advisor: Dan Boneh



# Friends & Family



# Helen & Tom



# My parents & brothers



# Mariël



# Socially-distant lunch party.

- Meet at **noon** – **Escondido Village basketball courts** (in front of McFarland, next to Tennis courts)
- Food, drinks & fun



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**Thank you!**