

Stealing Machine Learning Models via Prediction APIs

Florian Tramèr, Fan Zhang, Ari Juels, Michael K. Reiter, Thomas Ristenpart

Usenix Security Symposium

Austin, Texas, USA

August, 11th 2016

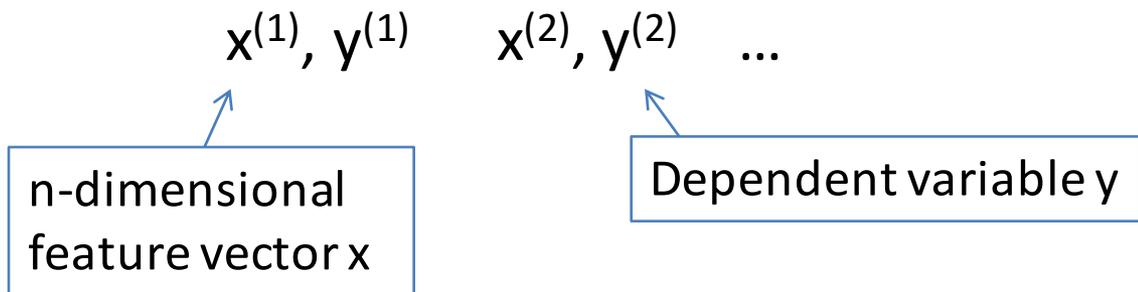


**CORNELL
TECH**

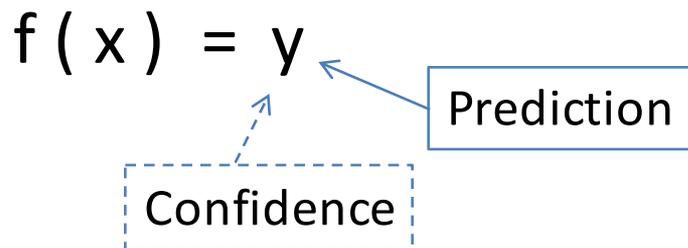


Machine Learning (ML) Systems

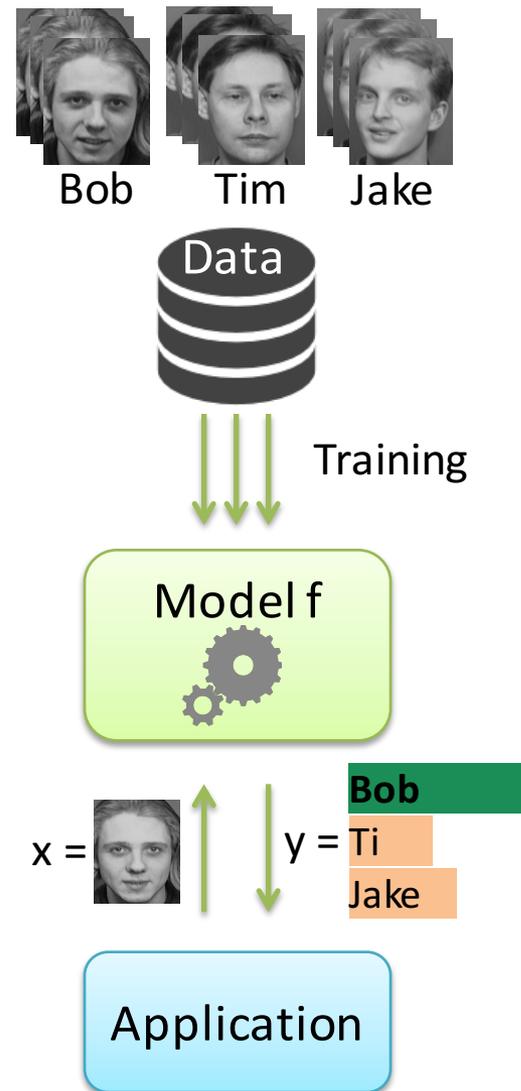
(1) Gather labeled data



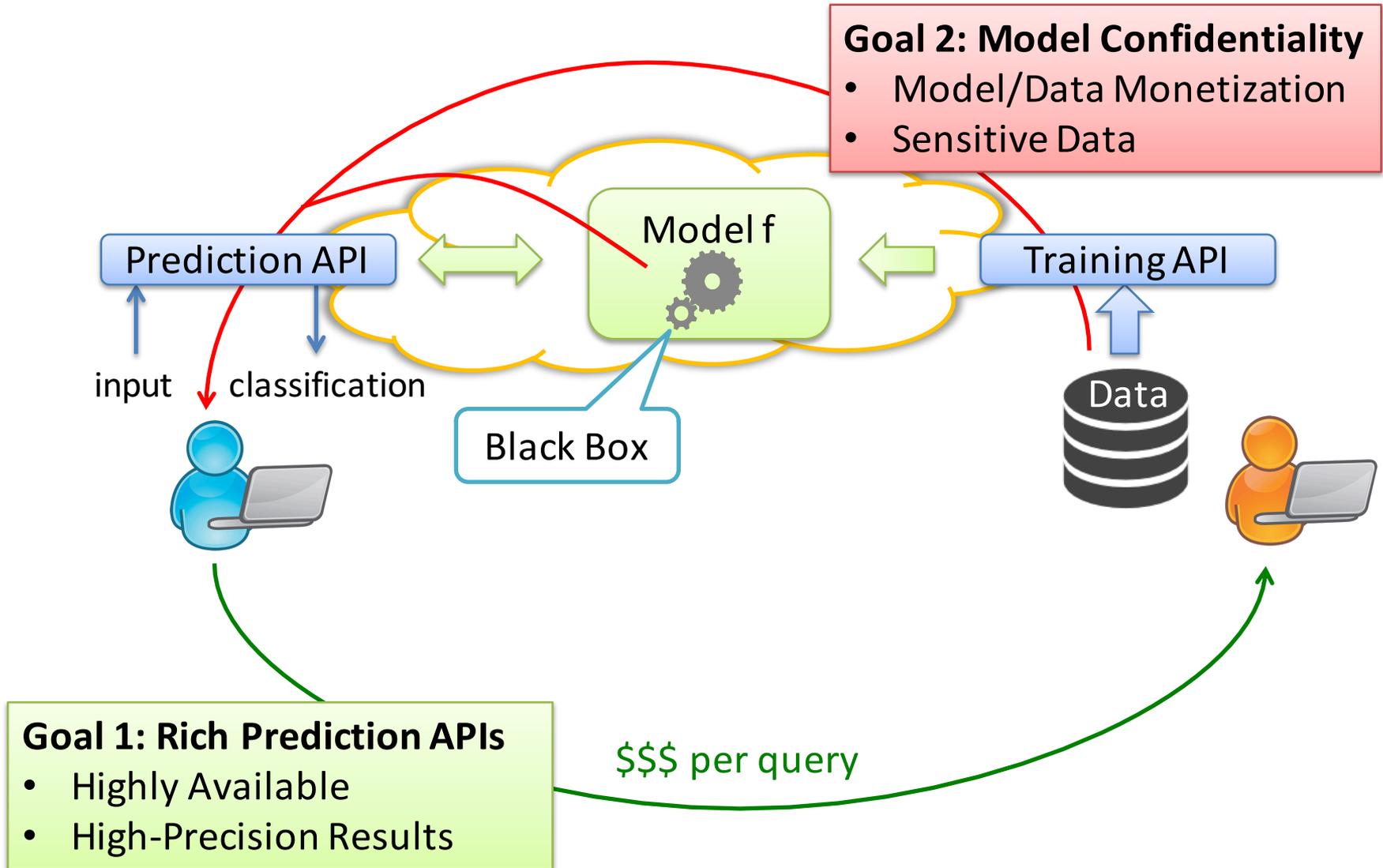
(2) Train ML model f from data



(3) Use f in some application or publish it for others to use



Machine Learning as a Service (MLaaS)



Machine Learning as a Service (MLaaS)



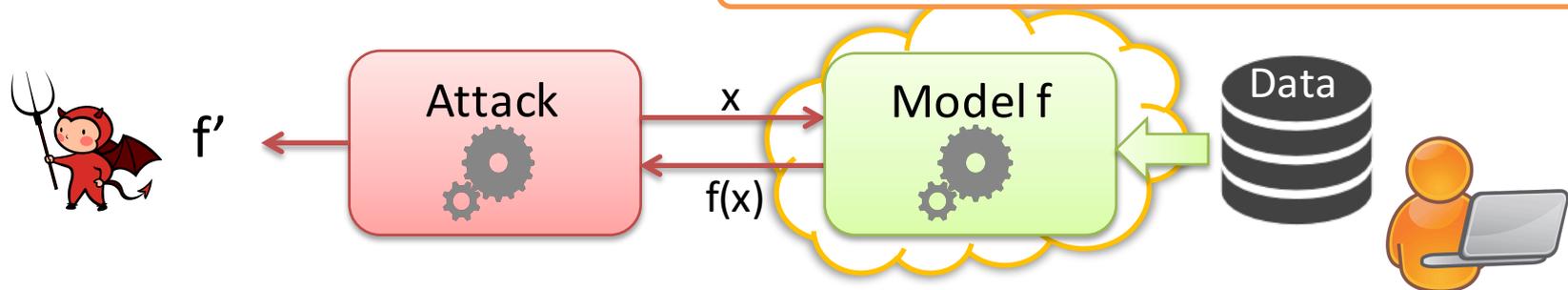
Service	Model types
Amazon	Logistic regressions
Google	??? (announced: logistic regressions, decision trees, neural networks, SVMs)
Microsoft	Logistic regressions, decision trees, neural networks, SVMs
PredictionIO	Logistic regressions, decision trees, SVMs (white-box)
BigML	Logistic regressions, decision trees

Sell Datasets – Models – Prediction Queries
\$\$\$ to other users \$\$\$

Model Extraction Attacks

Goal: Adversarial client learns **close approximation** of f using as few queries as possible

Target: $f(x) = f'(x)$ on $\geq 99.9\%$ of inputs

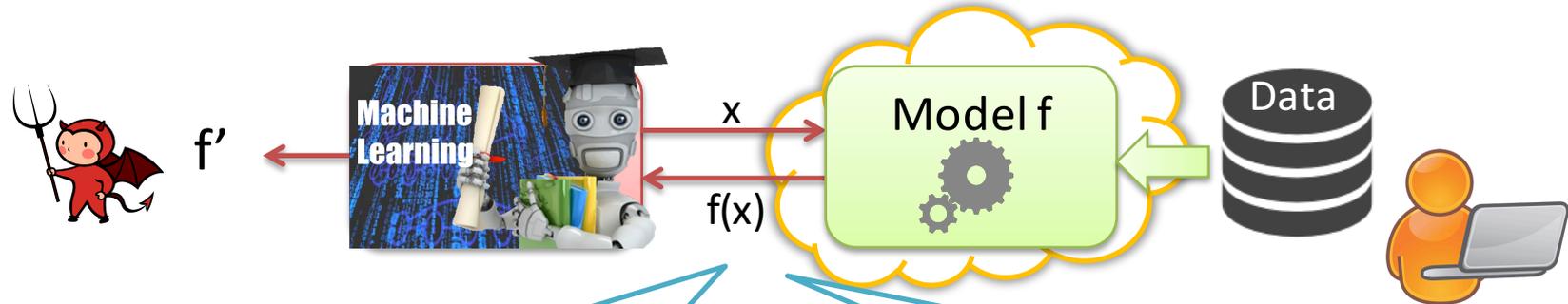


Applications:

- 1) Undermine **pay-for-prediction** pricing model
- 2) Facilitate **privacy attacks** (
- 3) Stepping stone to **model-evasion**
[Lowd, Meek – 2005] [Srndic, Laskov – 2014]

Model Extraction Attacks (Prior Work)

Goal: Adversarial client learns **close approximation** of f using as few queries as possible



No! Prediction APIs return more information than assumed in prior work and “traditional” ML

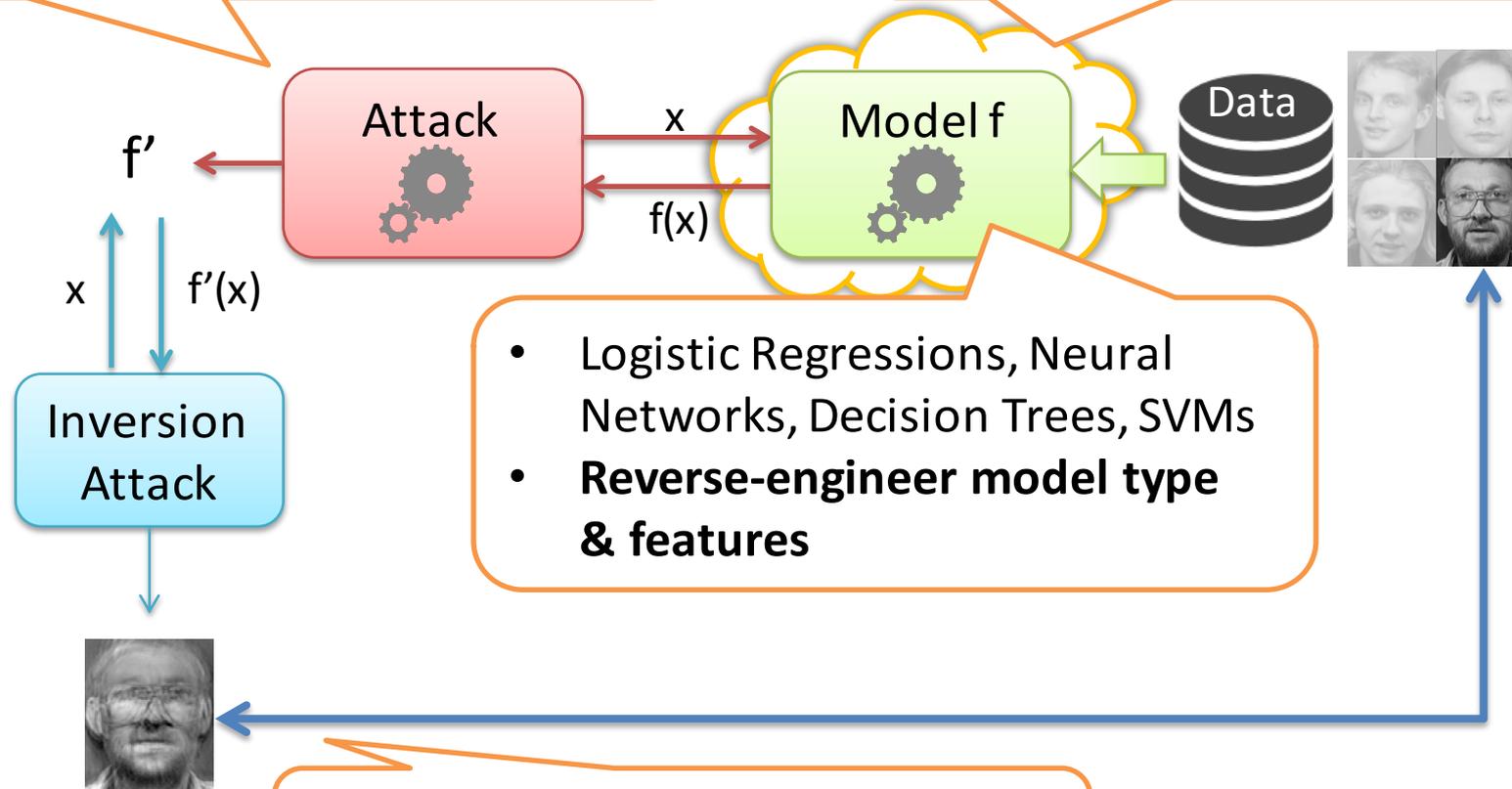
Isn't this “just Machine Learning”?

If $f(x)$ is just a class label: **learning with membership queries**

- Boolean decision trees [Kushilevitz, Mansour – 1993]
- Linear models (e.g., binary regression) [Lowd, Meek – 2005]

Main Results

$f'(x) = f(x)$ on 100% of inputs
100s-1000's of online queries



- Logistic Regressions, Neural Networks, Decision Trees, SVMs
- **Reverse-engineer model type & features**

Improved Model-Inversion Attacks
[Fredrikson et al. 2015]

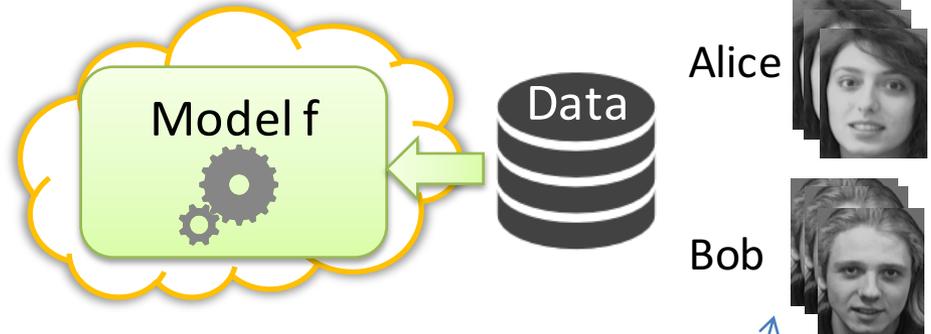
Model Extraction Example: Logistic Regression

Task: Facial Recognition of two people (binary classification)

$n+1$ parameters w, b chosen using training set to minimize expected error

$$f(x) = 1 / (1 + e^{-(w*x + b)})$$

f maps features to predicted probability of being "Alice"
 ≤ 0.5 classify as "Bob"
 > 0.5 classify as "Alice"



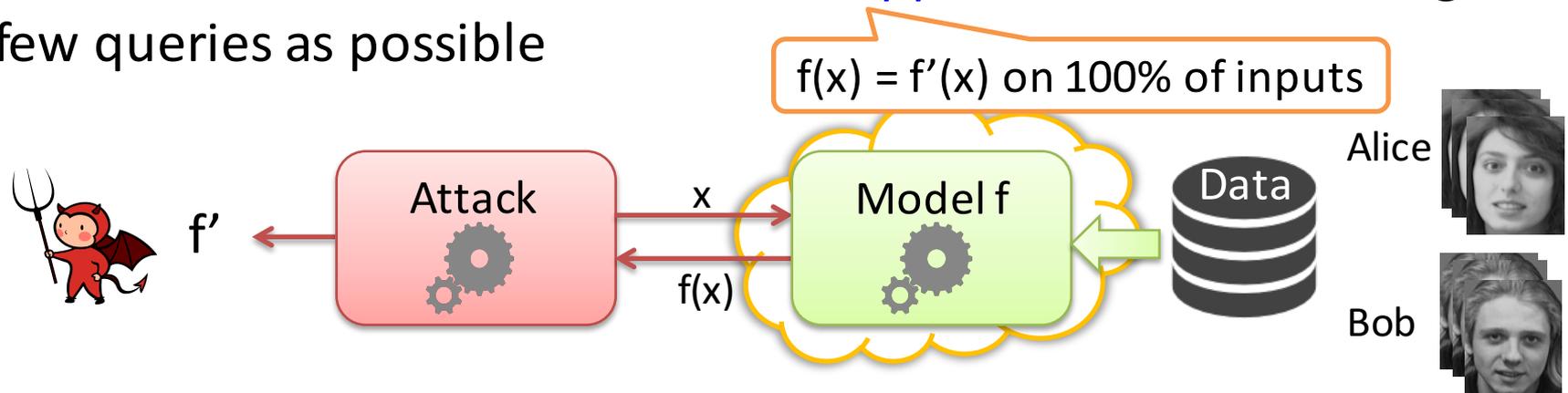
Feature vectors are pixel data
e.g., $n = 92 * 112 = 10,304$

Generalize to $c > 2$ classes with *multinomial logistic regression*

$$f(x) = [p_1, p_2, \dots, p_c] \quad \text{predict label as } \operatorname{argmax}_i p_i$$

Model Extraction Example: Logistic Regression

Goal: Adversarial client learns **close approximation** of f using as few queries as possible



$$f(x) = 1 / (1 + e^{-(w*x + b)})$$

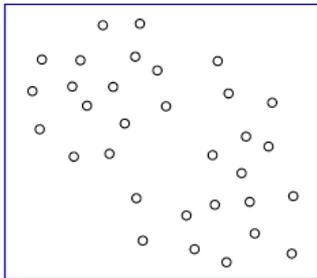
$$\ln\left(\frac{f(x)}{1 - f(x)}\right) = w*x + b$$

Linear equation in $n+1$ unknowns w, b

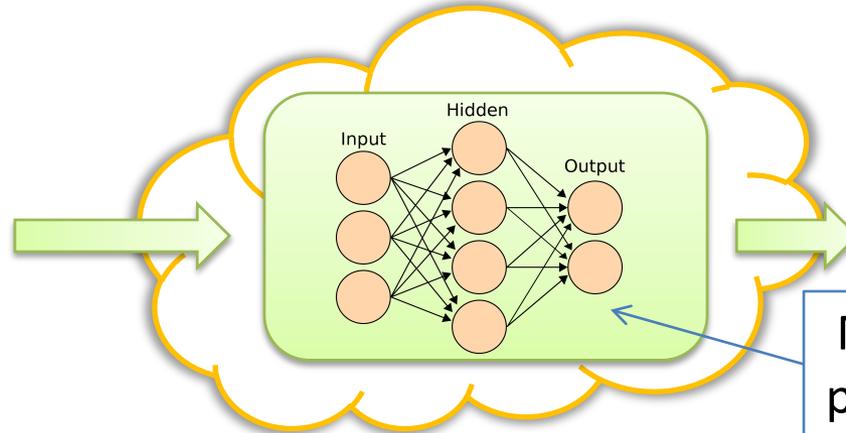
Query $n+1$ **random points** \Rightarrow solve a **linear system** of $n+1$ equations

Generic Equation-Solving Attacks

random inputs X



MLaaS Service



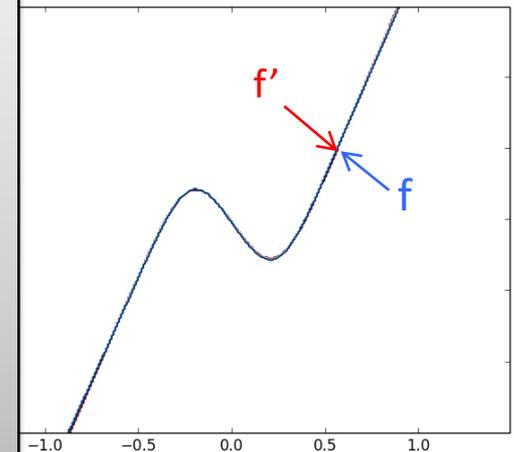
outputs Y

confidence values

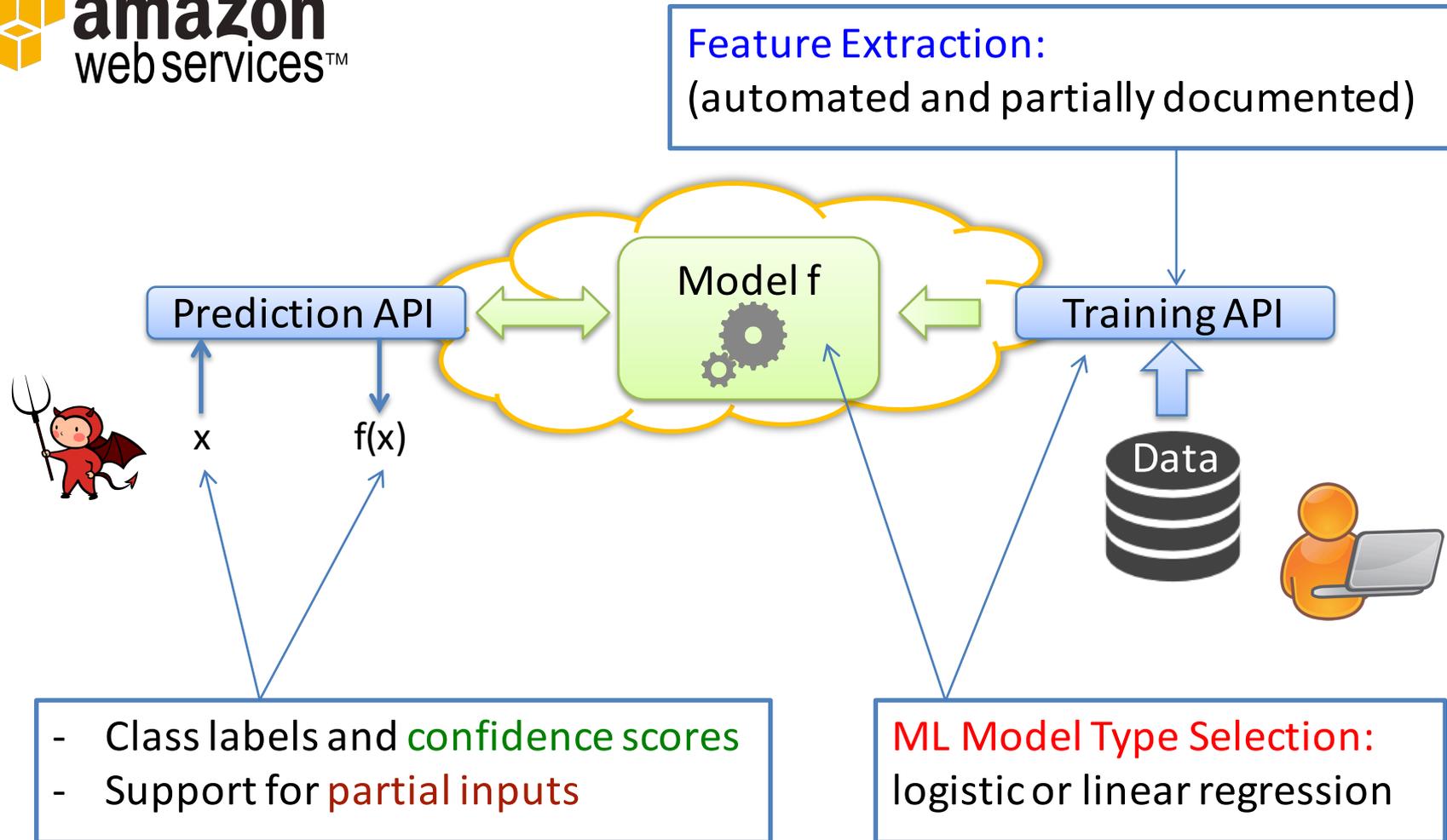
$$[f_1(x), f_2(x), \dots, f_c(x)] \in [0, 1]^c$$

Model f has k parameters W

- Solve **non-linear equation system** in the weights W
 - Optimization problem + gradient descent
 - *"Noiseless Machine Learning"*
- Multinomial Regressions & Deep Neural Networks:
 - **>99.9% agreement between f and f'**
 - ≈ 1 query per model parameter of f
 - 100s - 1,000s of queries / seconds to minutes



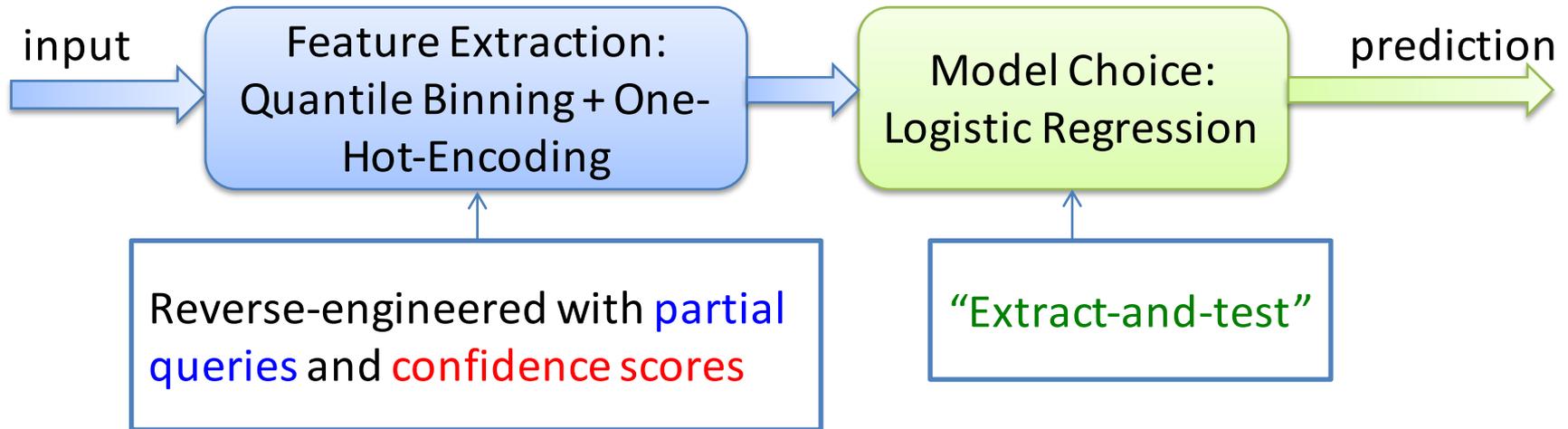
MLaaS: A Closer Look



- Class labels and **confidence scores**
- Support for **partial inputs**

- ML Model Type Selection:** logistic or linear regression

Online Attack: AWS Machine Learning

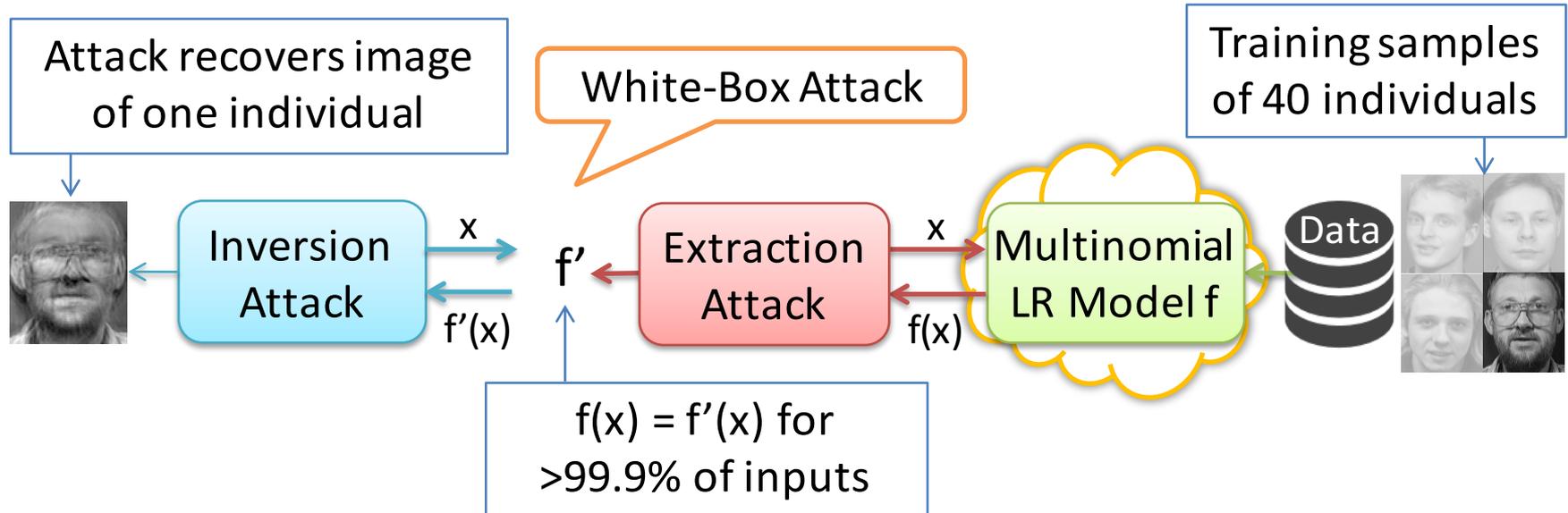


Model	Online Queries	Time (s)	Price (\$)
Handwritten Digits	650	70	0.07
Adult Census	1,485	149	0.15

Extracted model f' agrees with f on 100% of tested inputs

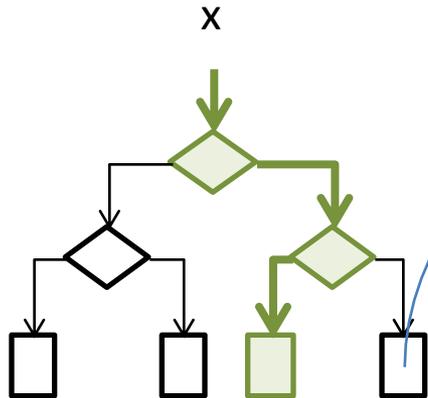
Application: Model-Inversion Attacks

Infer training data from trained models [Fredrikson et al. – 2015]



Strategy	Attack against 1 individual		Attack against all 40 individuals	
	Online Queries	Attack Time	Online Queries	Attack Time
Black-Box Inversion [Fredrikson et al.]	20,600	24 min	800,000	16 hours
Extract-and-Invert (our work)	41,000	10 hours	41,000	10 hours

Extracting a Decision Tree



Confidence value derived from class distribution in the training set

Kushilevitz-Mansour (1992)

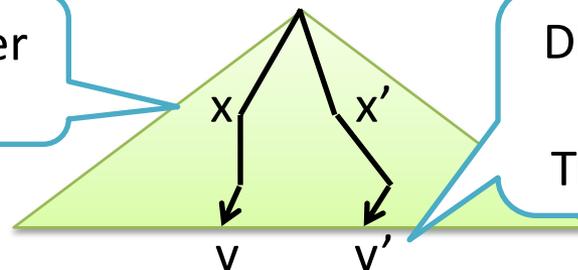
- Poly-time algorithm with *membership queries* only
- Only for Boolean trees, *impractical complexity*

(Ab)using Confidence Values

- Assumption: all tree leaves have **unique confidence values**
- **Reconstruct tree decisions** with “differential testing”
- Online attacks on BigML



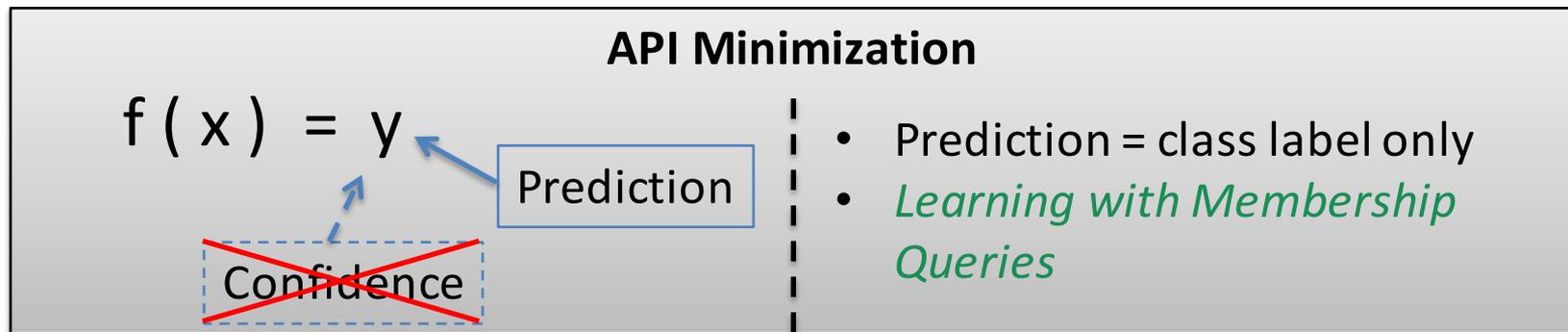
Inputs x and x' differ in a single feature



Different leaves are reached
 \Leftrightarrow
Tree “splits” on this feature

Countermeasures

How to prevent extraction?



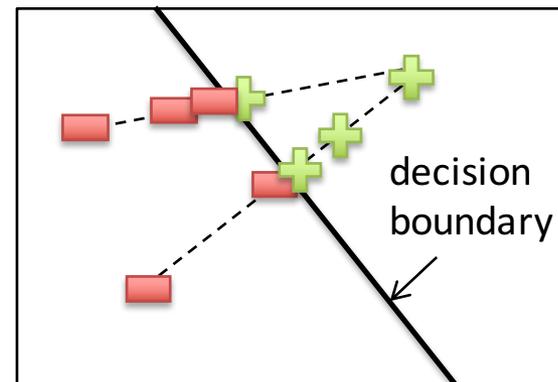
Attack on Linear Classifiers [Lowd, Meek – 2005]

classify as “+” if $w^*x + b > 0$
and “-” otherwise

$n+1$ parameters w, b

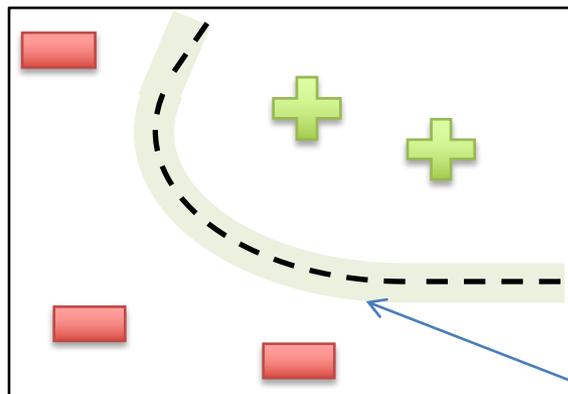
$$f(x) = \text{sign}(w^*x + b)$$

1. Find points on **decision boundary** ($w^*x + b = 0$)
 - Find a “+” and a “-”
 - **Line search** between the two points
2. Reconstruct w and b (up to scaling factor)



Generic Model Retraining Attacks

- Extend the Lowd-Meek approach to non-linear models
- **Active Learning:**
 - Query points close to “decision boundary”
 - Update f' to fit these points
- Multinomial Regressions, Neural Networks, SVMs:
 - >99% agreement between f and f'
 - ≈ 100 queries per model parameter of f



$\approx 100\times$ less efficient
than equation-solving

query more
points here

Conclusion

Rich prediction APIs ⚡ Model & data confidentiality

Efficient Model-Extraction Attacks

- Logistic Regressions, Neural Networks, Decision Trees, SVMs
- **Reverse-engineering of model type, feature extractors**
- **Active learning attacks** in membership-query setting

Applications

- Sidestep model monetization
- **Boost other attacks:** privacy breaches, model evasion

Thanks! Find out more: <https://github.com/ftramer/Steal-ML>

